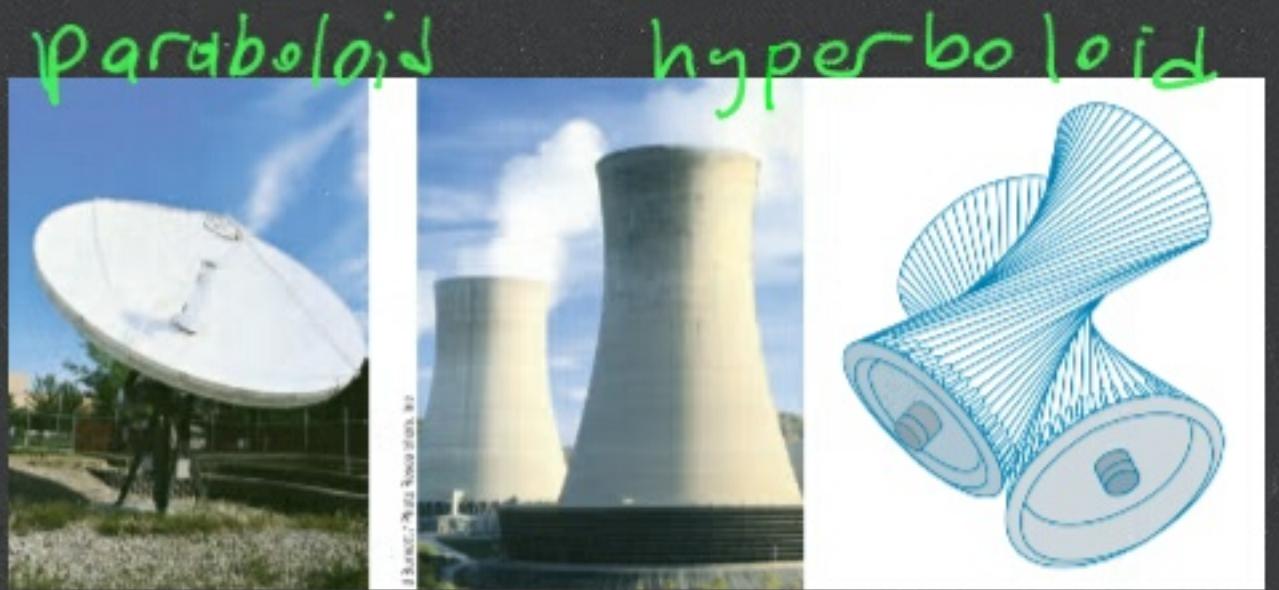


10.5. Quadric Surfaces

We have seen that equations of the form

$$Ax + By + Cz = D \quad \text{or} \quad Ax^2 + By^2 + Cz^2 = D = 0$$



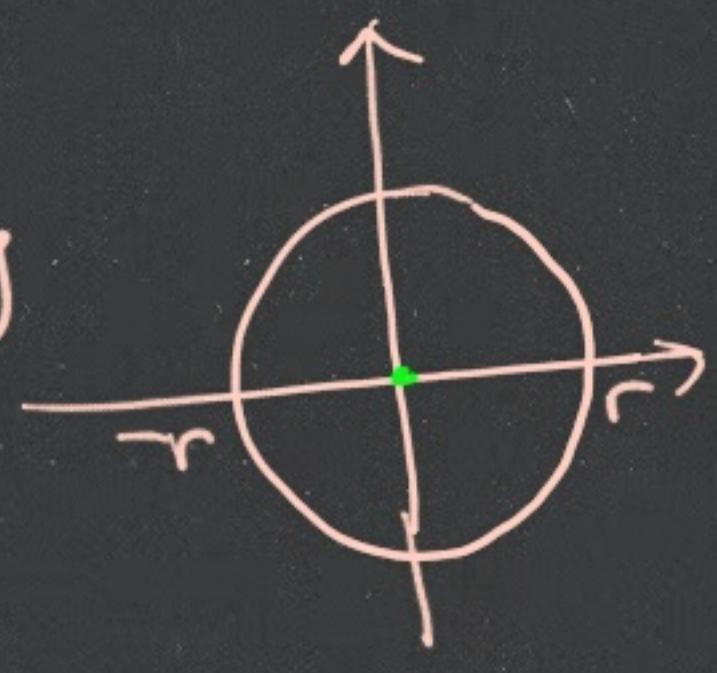
describe a plane. This is a degree 1 equation in x, y, z .

We mentioned briefly that $(x-a)^2 + (y-b)^2 + (z-c)^2 = r^2$ is a sphere. This is a degree 2 equation in x, y, z .

Example: $x^2 + y^2 = r^2$ or $x^2 + y^2 - r^2 = 0$ a degree 2 eqn.

Its graph in 2-space is the circle

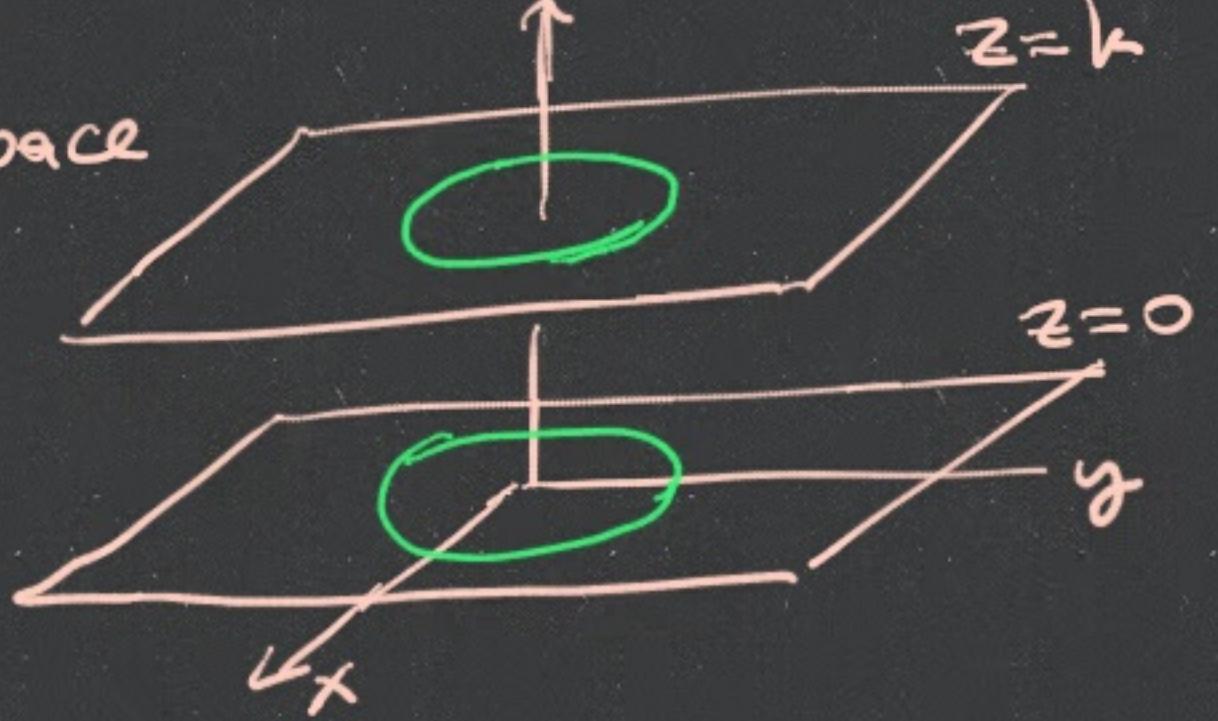
$$\{(x, y) \mid x^2 + y^2 = r^2\}$$



its graph in 3-space is the cylinder



$\{(x, y, z) \mid x^2 + y^2 = r^2\}$
no z in the equation
circular cylinder



The most general second degree equation in x, y, z is

$$\textcircled{*} A_1 x^2 + B_1 y^2 + C_1 z^2 + D_1 xy + E_1 xz + F_1 yz + G_1 x + H_1 y + I_1 z + J_1 = 0, \text{ not all of } A_1, B_1, C_1, D_1, E_1, F_1 \text{ are } 0.$$

The equation $\textcircled{*}$ can sometimes be factored as:

$$(A_1 x + B_1 y + C_1 z - D_1)(A_2 x + B_2 y + C_2 z - D_2) = 0. \text{ What will be its graph?}$$

$$\text{Example: } (x+y+z-3)(z-3) = xz + yz + z^2 - z - 3x - 3y - 3z + 3 = \\ = z^2 + xz + yz - 3x - 3y - 4z + 3 = 0 \textcircled{*}$$

$$\Leftrightarrow x+y+z-3=0 \text{ or } z-3=0 \Rightarrow \textcircled{*} \text{ has graph } P_1 \cup P_2$$

P_1

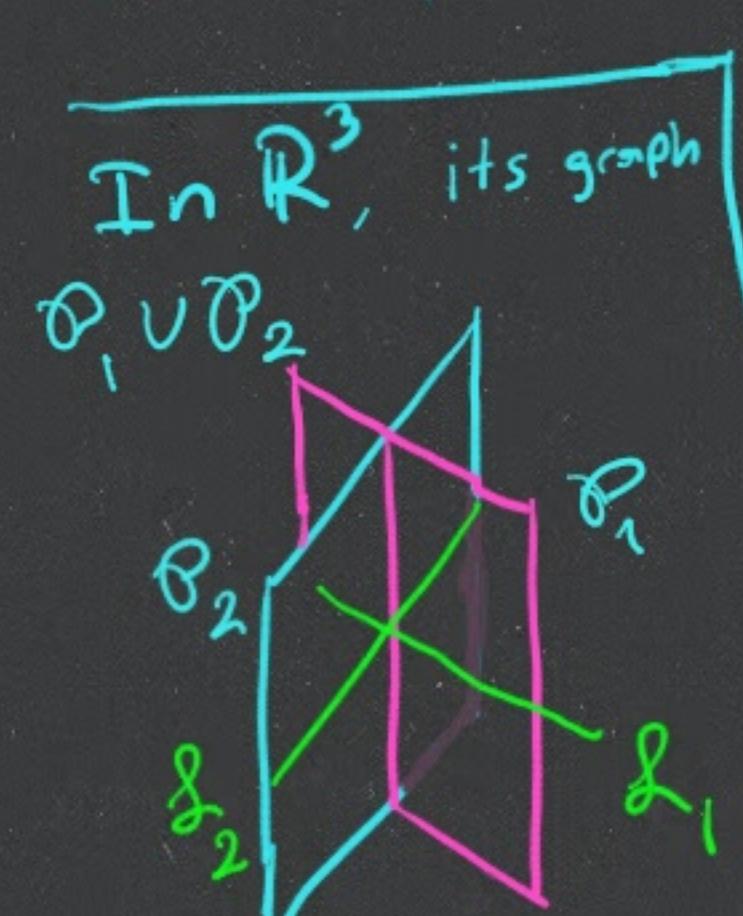
P_2

Remark: If $A_2 = A_1, B_2 = B_1, C_2 = C_1, D_2 = D_1$, there is only one plane

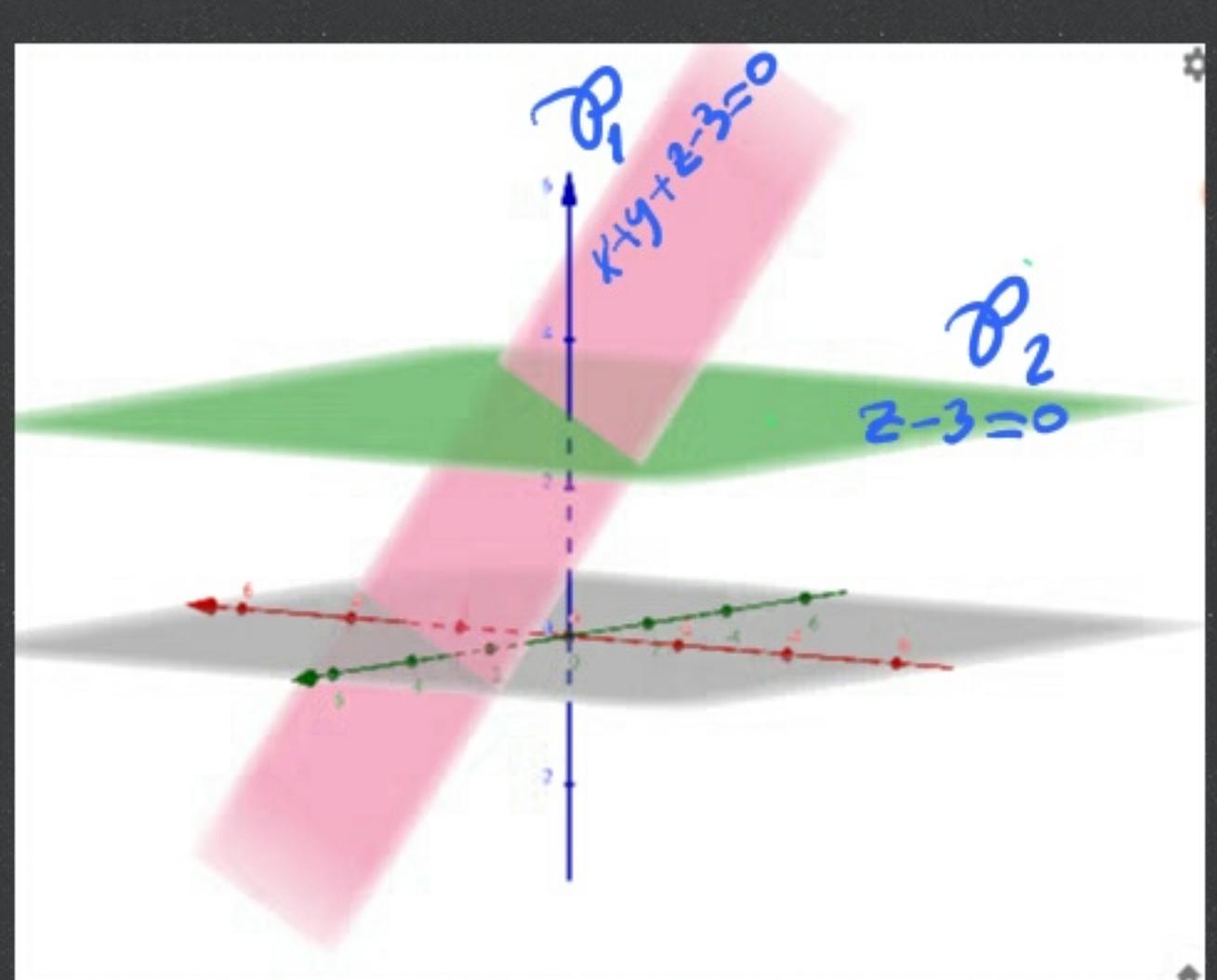
Example:

In \mathbb{R}^2 ,

$$(x+y)(x-y) = x^2 - y^2 = 0$$



has graph
 $L_1 \cup L_2$



When there is no factorization, the surface defined by the equation

$$\textcircled{X} \quad Ax^2 + By^2 + Cz^2 + Dxy + Exz + Fyz + Gx + Hy + Iz + J = 0$$

is not going to be flat like a plane, it may contain straight lines.

The surface is called a quadric surface.

For simplicity, we will assume $D=E=F=0$ (they rotate the surfaces)

Cylinders are the easiest surfaces; when there are only two variables in the equation, the surface it describes consists of all lines parallel to a given line which pass through a given plane curve.

Circular cylinders

$$x^2 + y^2 = 1 \quad \text{or} \quad y^2 + z^2 = 1$$

$$(x^2 + y^2 - 1 = 0) \quad (y^2 + z^2 - 1 = 0)$$

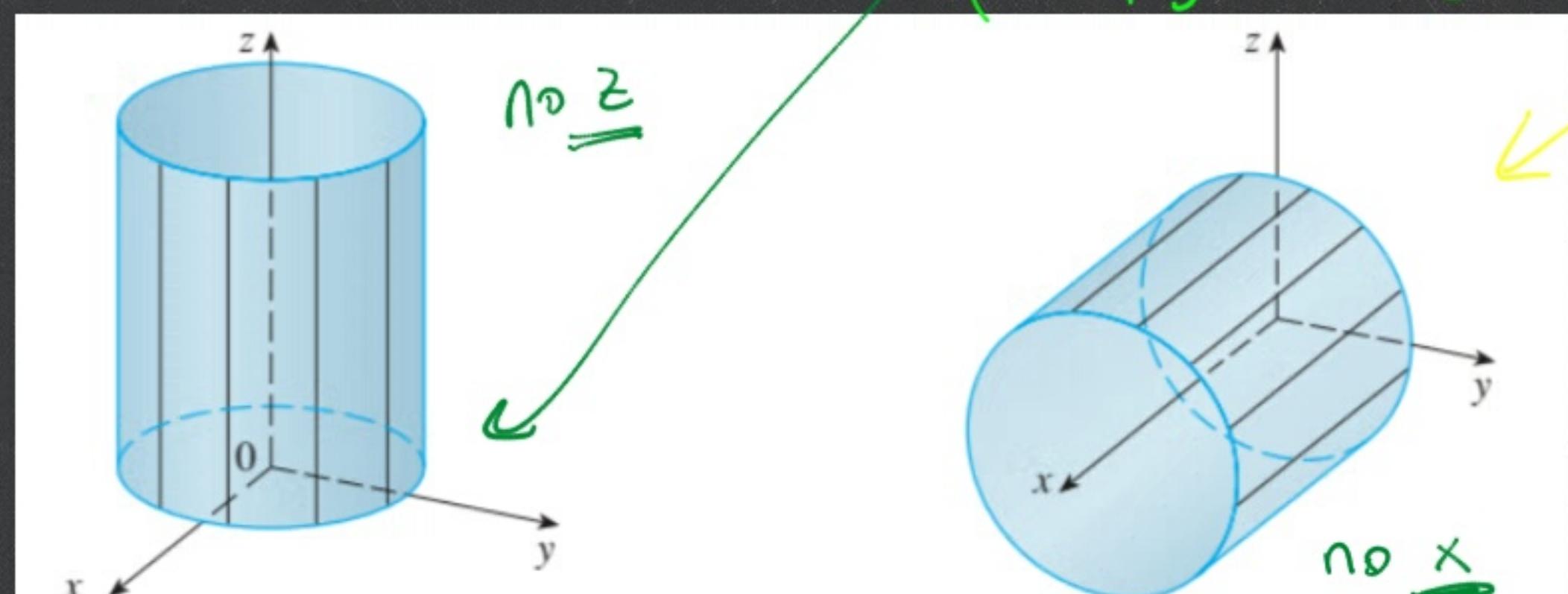


FIGURE 2 $x^2 + y^2 = 1$

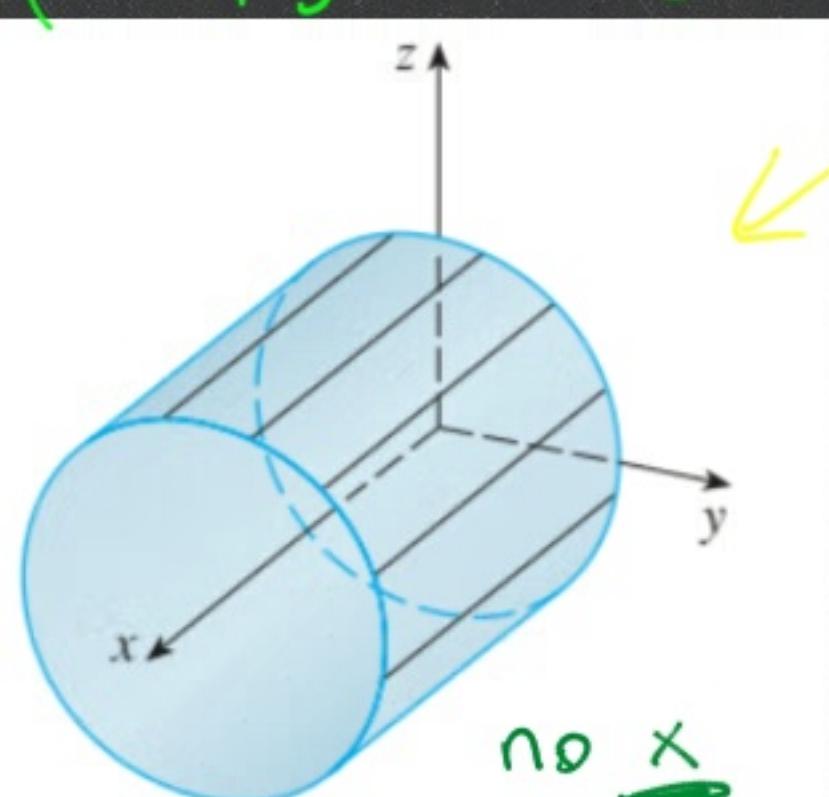


FIGURE 3 $y^2 + z^2 = 1$

The Pictures are from:

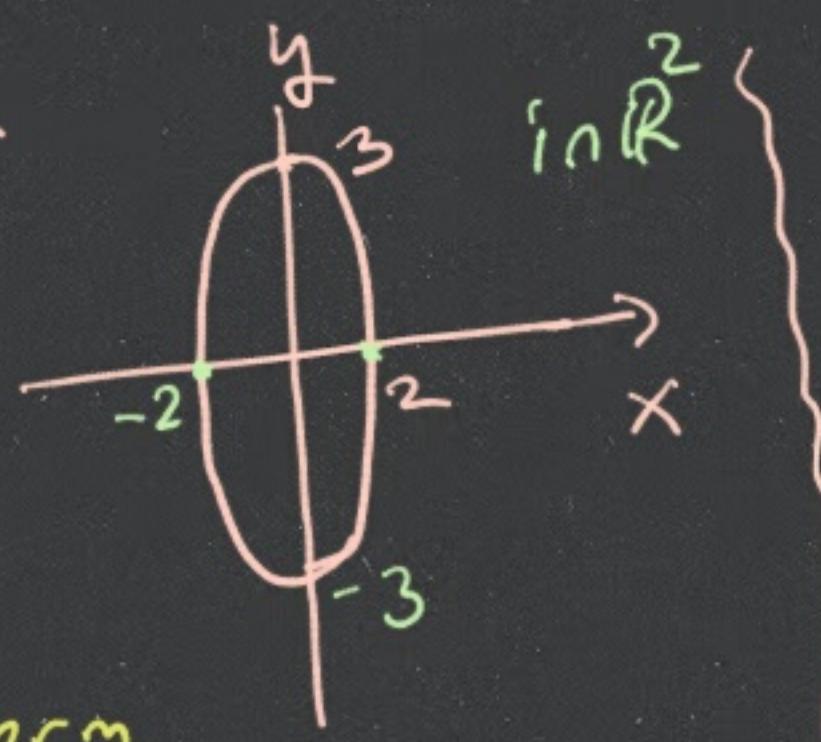
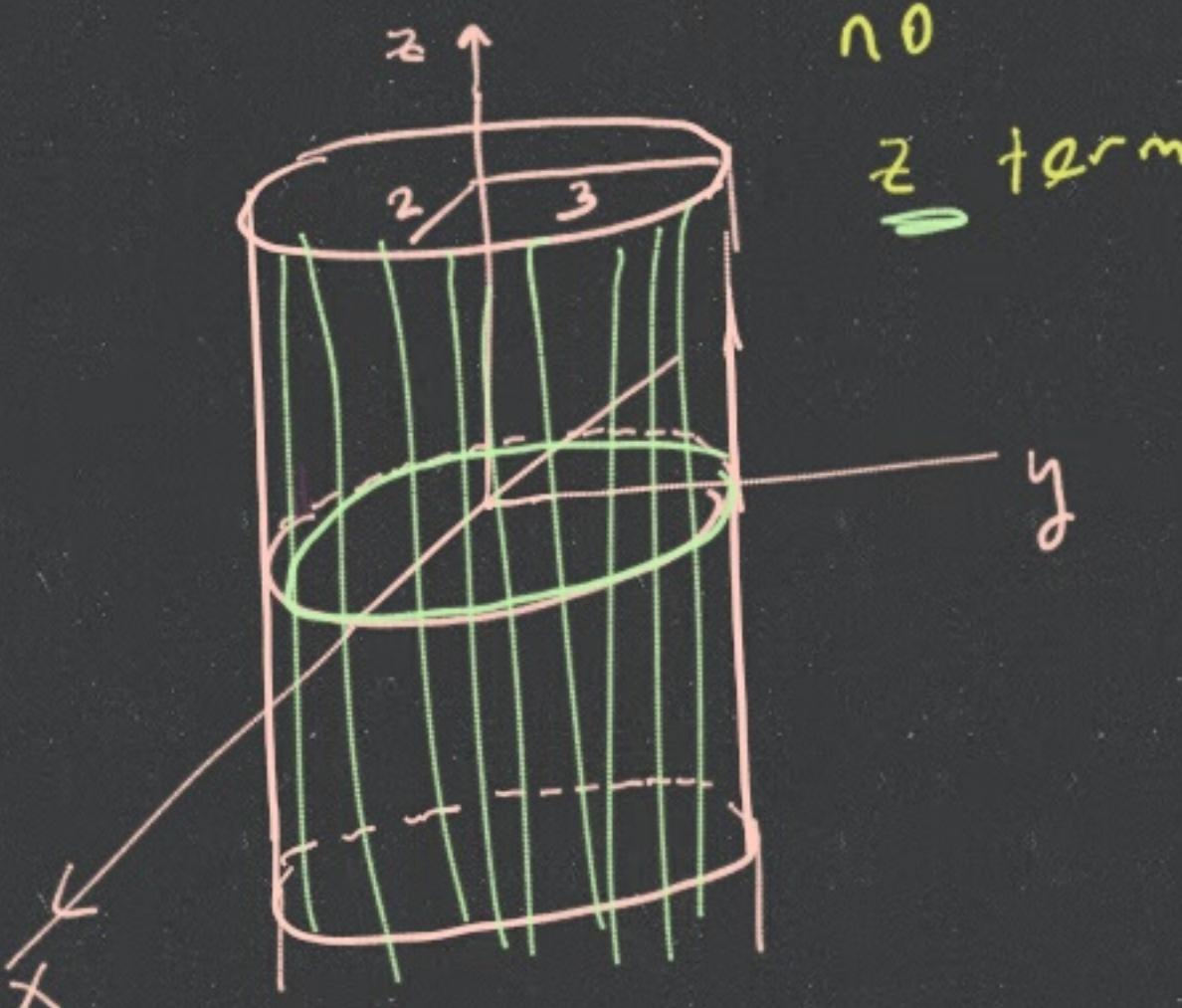
CALCULUS
EARLY TRANSCENDENTALS
SIXTH EDITION

JAMES STEWART
McMASTER UNIVERSITY

or by Geoalgebrae

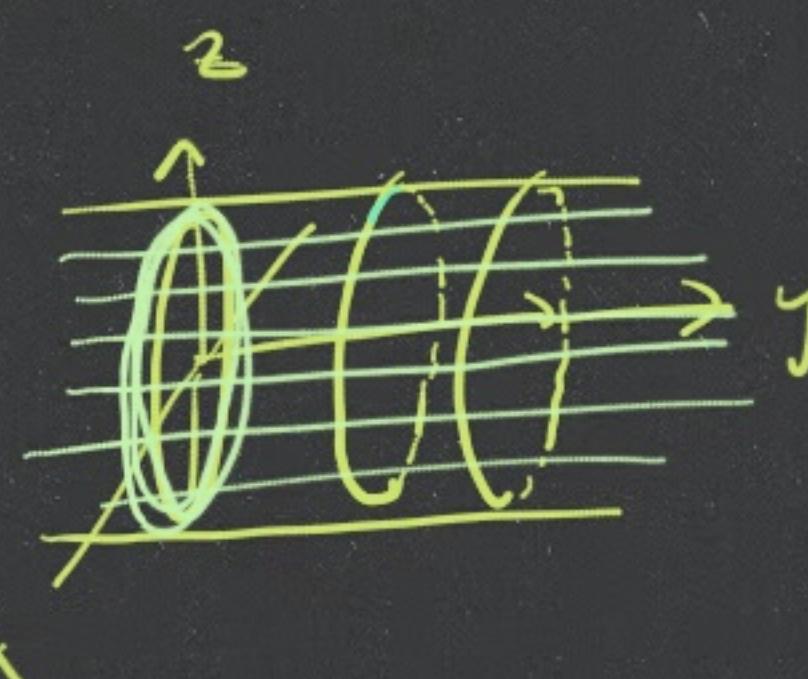
Elliptic cylinder

$$\text{ellipse in } \frac{x^2}{2^2} + \frac{y^2}{3^2} = 1$$



Elliptic cylinder

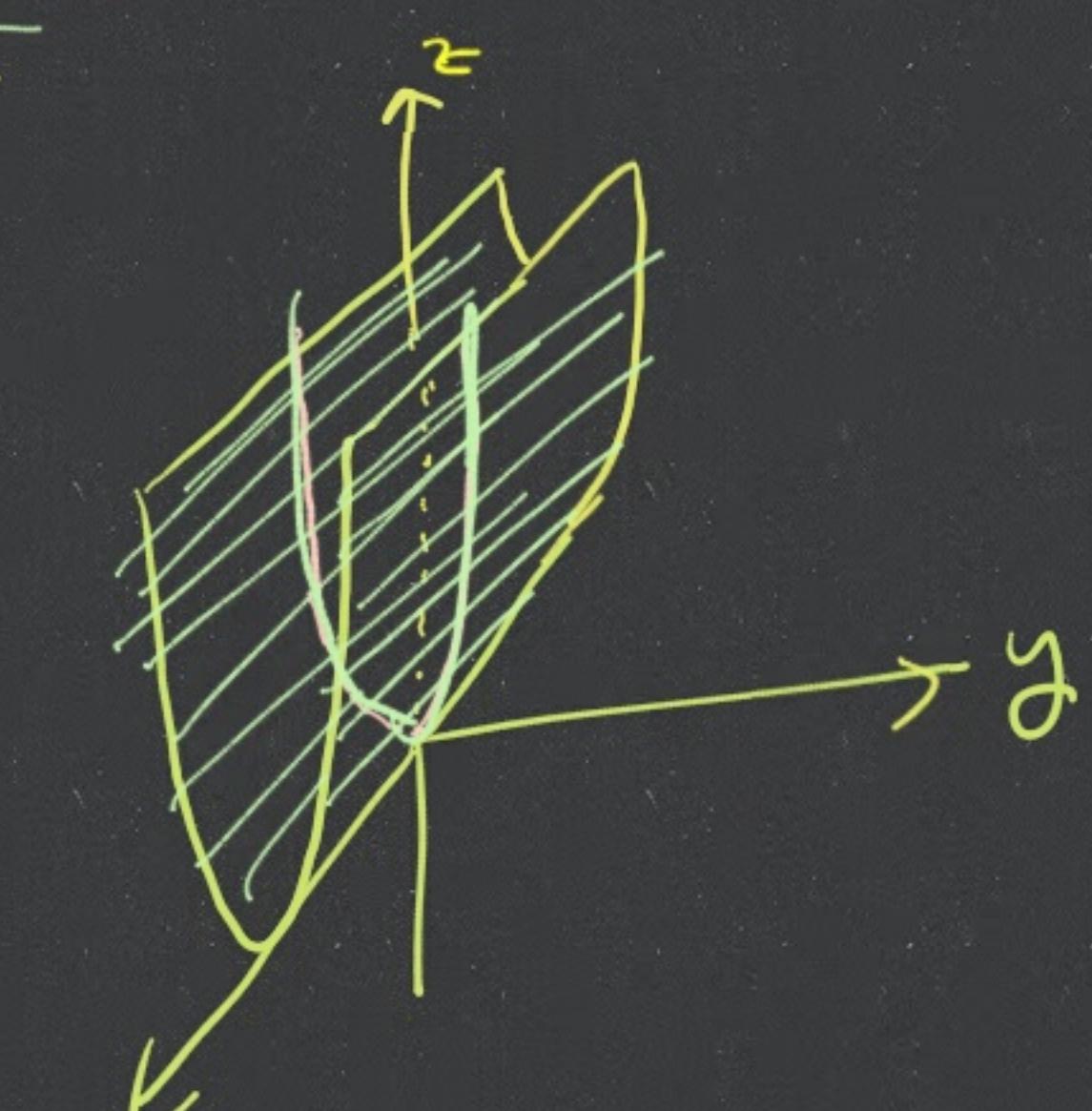
$$\frac{x^2}{2^2} + \frac{z^2}{3^2} = 1 \quad \text{no y-term}$$



Parabolic cylinder

$$z - y^2 = 0, \quad z = y^2$$

no x term



$$z = x^2$$

no y term

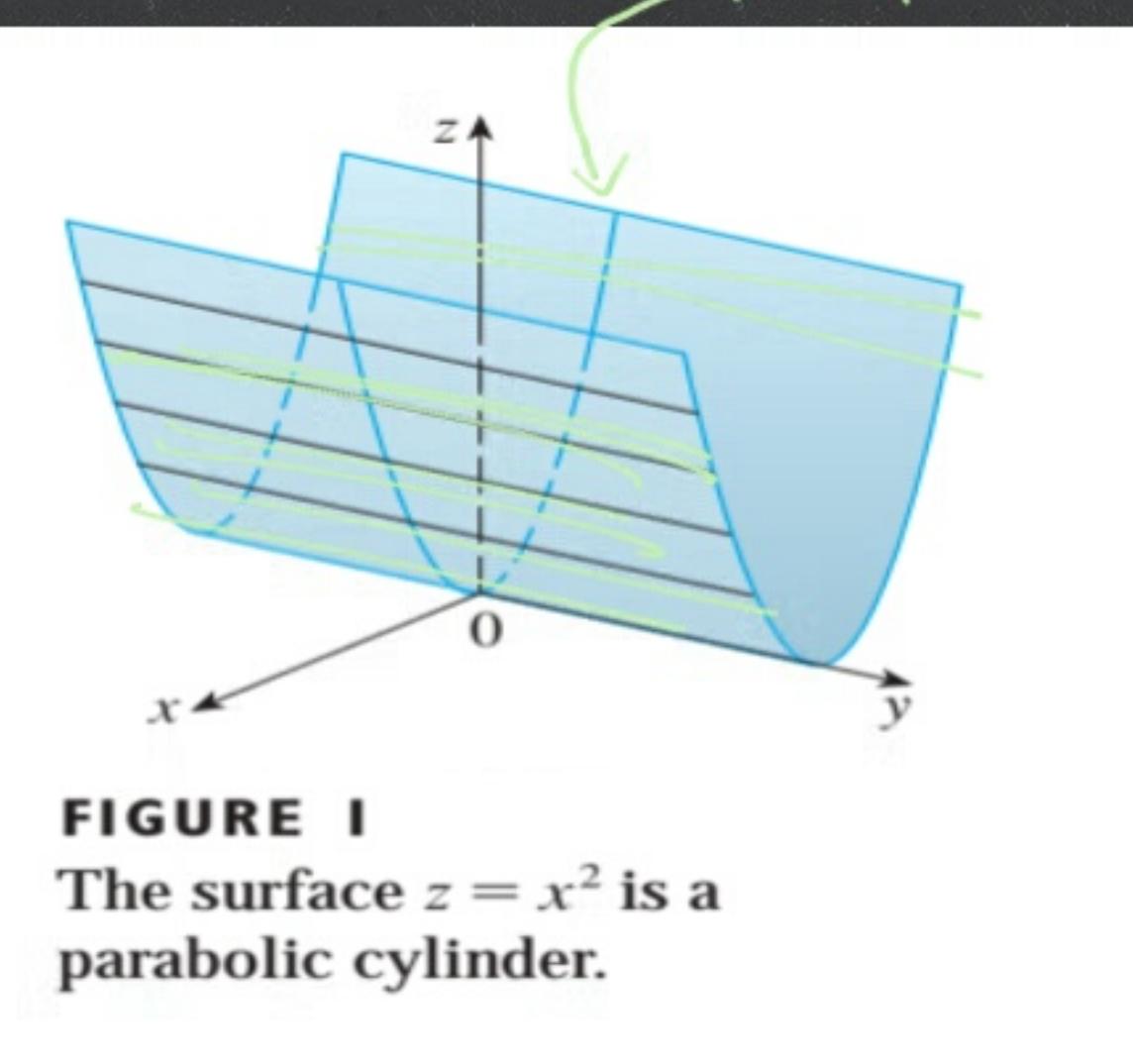


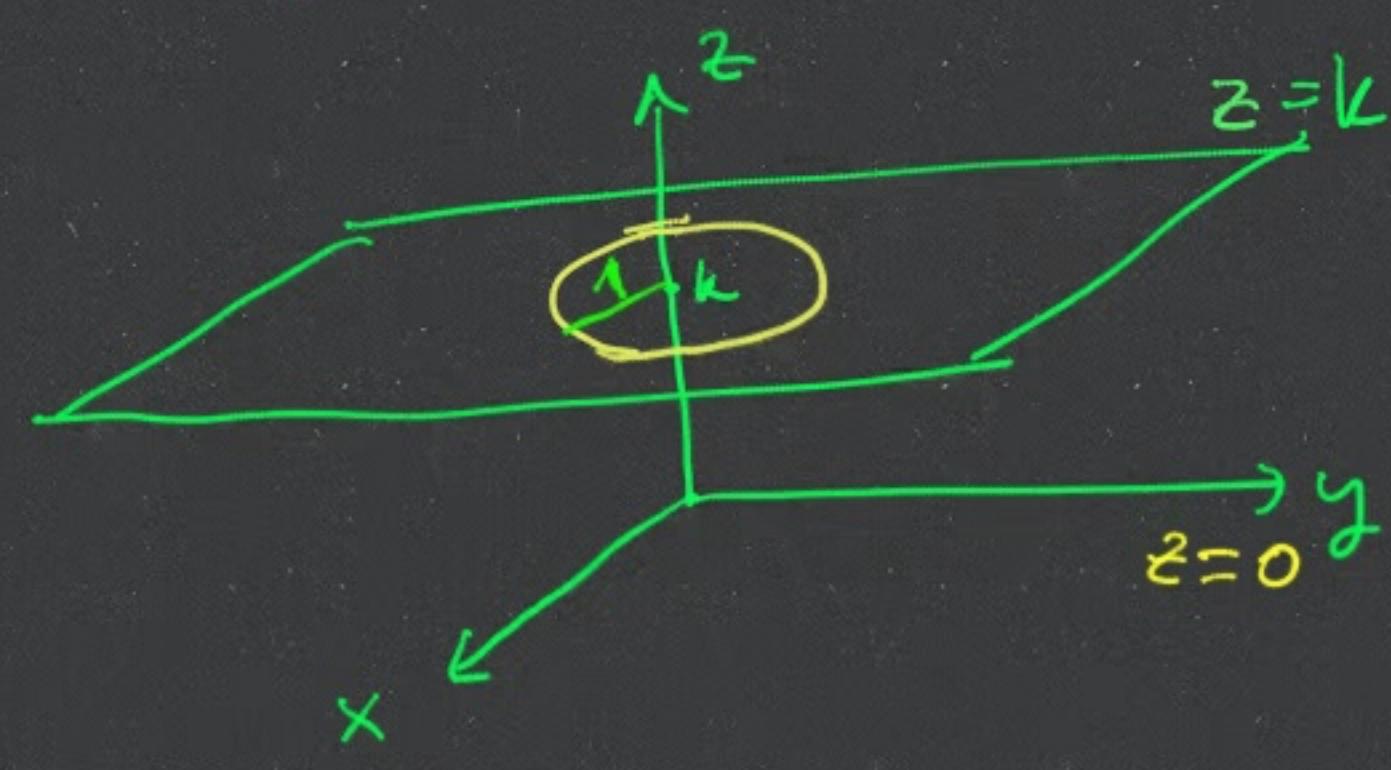
FIGURE 1

The surface $z = x^2$ is a parabolic cylinder.

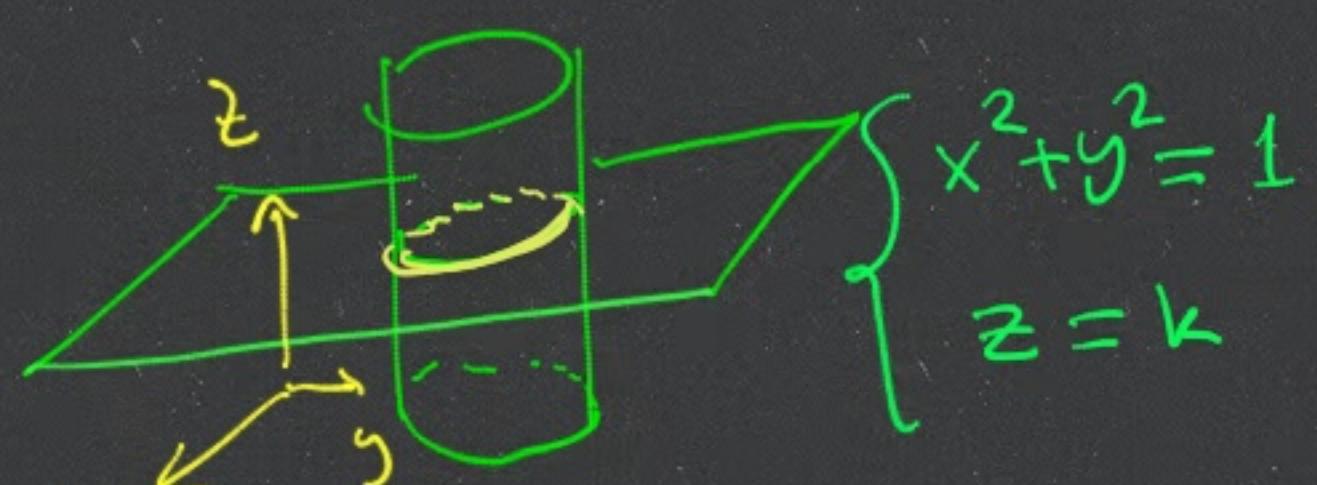
Remark

The yellow circle below is a circle of radius 1, centered at $(0,0,k)$ and is parallel to xy -plane. Does it have a single equation with x, y, z ?

No, it can be expressed as the intersection of a pair of surfaces. We need two eqns. together.

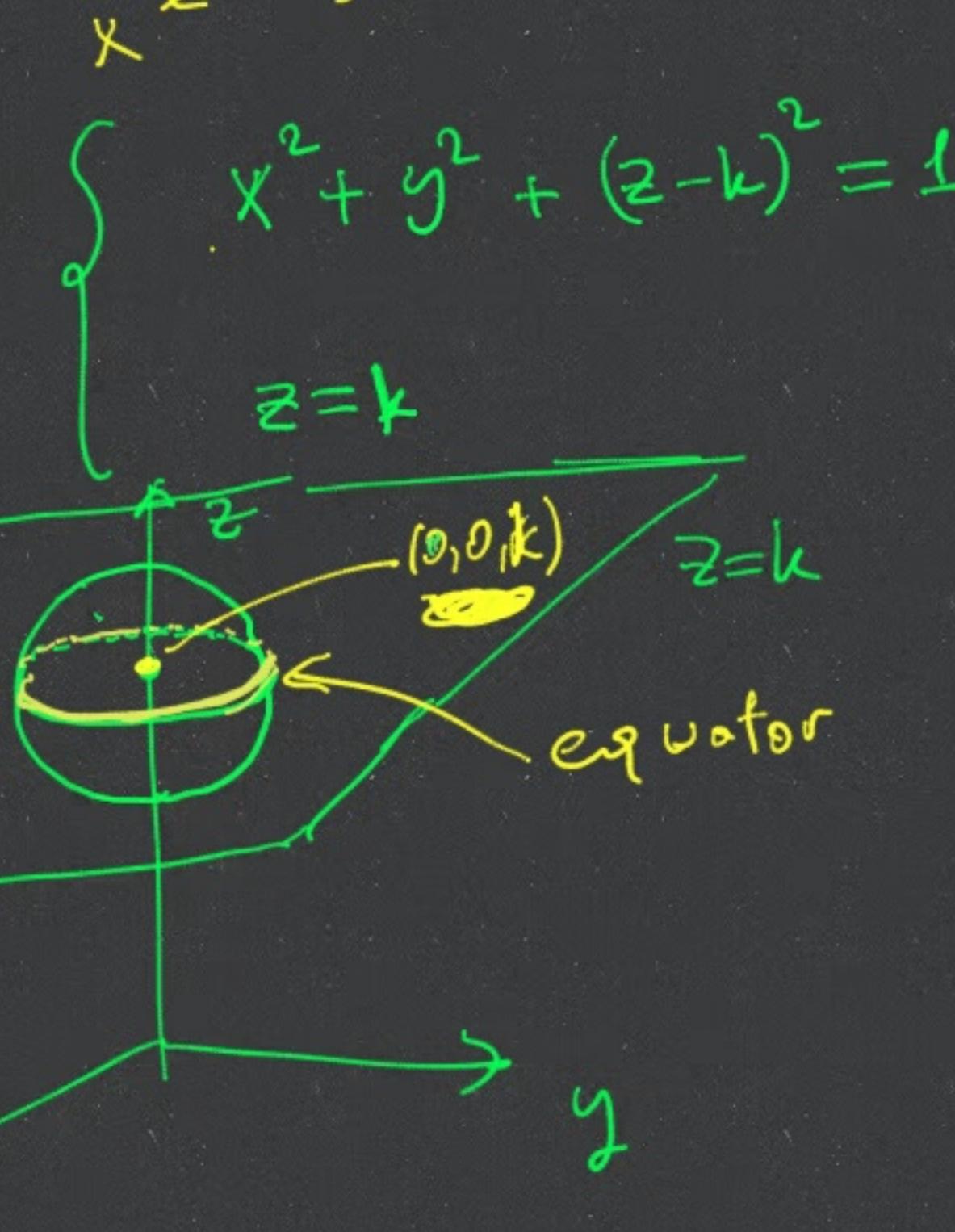


① cylinder and plane



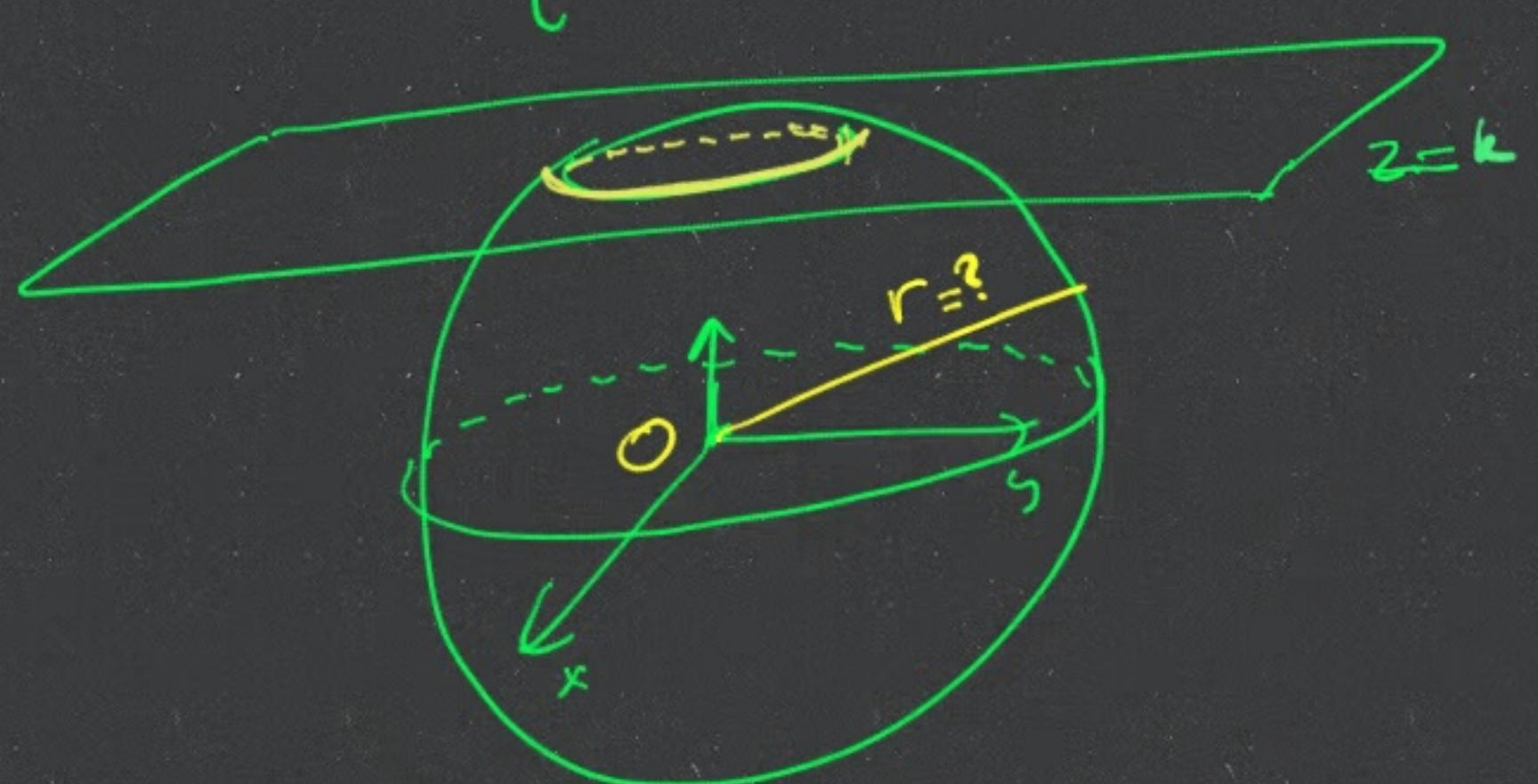
$x^2 + y^2 = 1$ ← a cylinder in \mathbb{R}^3 , a circle in xy -plane
 $z = k$ ← a plane in \mathbb{R}^3 parallel to xy -plane

② sphere and plane



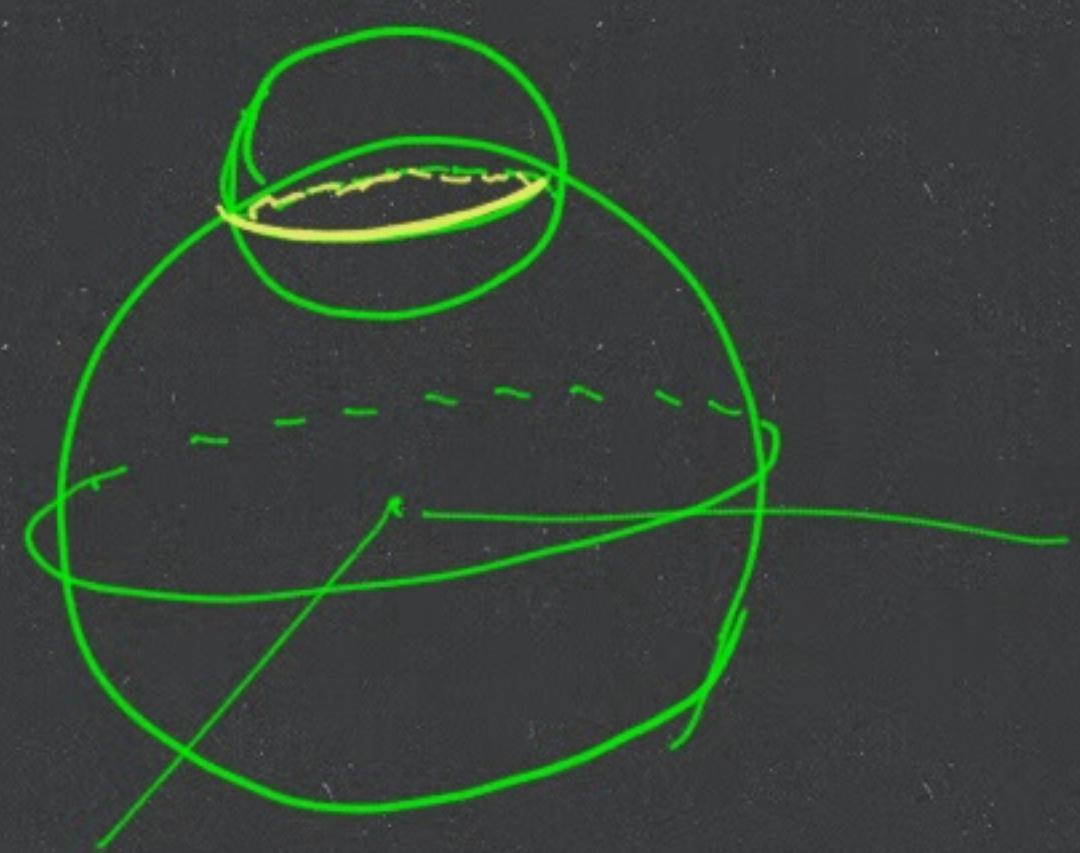
③ sphere & plane

$$\left\{ \begin{array}{l} x^2 + y^2 + z^2 = k^2 + 1 = (\sqrt{k^2 + 1})^2 \\ z = k \end{array} \right.$$



④ two spheres

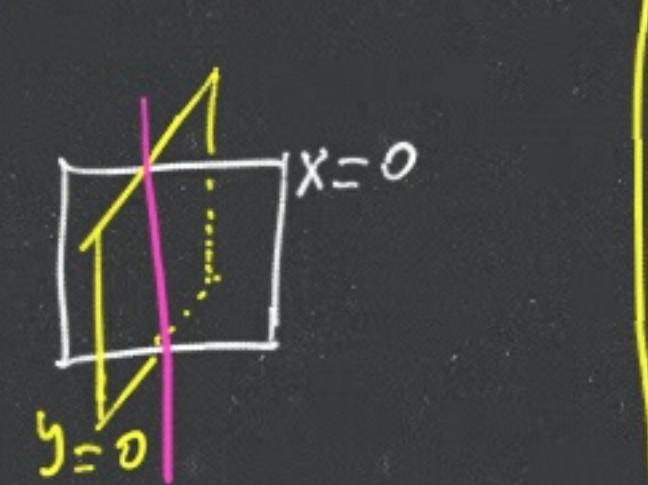
$$\left\{ \begin{array}{l} x^2 + y^2 + z^2 = k^2 + 1 \\ x^2 + y^2 + (z-k)^2 = 1 \end{array} \right.$$



Similarly, there is no single equation with x, y, z to describe a line. Recall that intersection of two nonparallel planes is a line.

Example: $\left\{ \begin{array}{l} x=0 \\ y=0 \end{array} \right.$ two planes determine a single line,

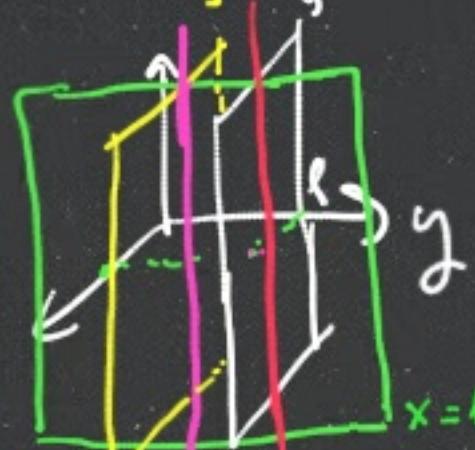
$$\{(0,0,z) | z \in \mathbb{R}\}$$
 pink line



Example: $\left\{ \begin{array}{l} x=k \\ y=l \\ y=m \end{array} \right.$ three planes determine a pair of lines

$$L_1 = \{(k, l, z) | z \in \mathbb{R}\}$$
 red line

$$L_2 = \{(k, m, z) | z \in \mathbb{R}\}$$
 pink line



- As a parametric equation the above yellow circle centered at $(0,0,k)$ of radius 1 can be given by $f(\theta) = (\cos(\theta), \sin(\theta), k)$, $\theta \in \mathbb{R}$
- As a parametric equation a line can be given by $L(t) = (x_0 + ta, y_0 + tb, z_0 + tc)$, $t \in \mathbb{R}$.

CROSS - SECTIONS / TRACES of a Surface.

To visualize graphs of equations in 3-space it is useful to look at its intersections with planes parallel to the coordinate planes, xy , xz , yz planes.

(horizontal) planes parallel to xy -plane have equation $z=k$, for $k \in \mathbb{R}$

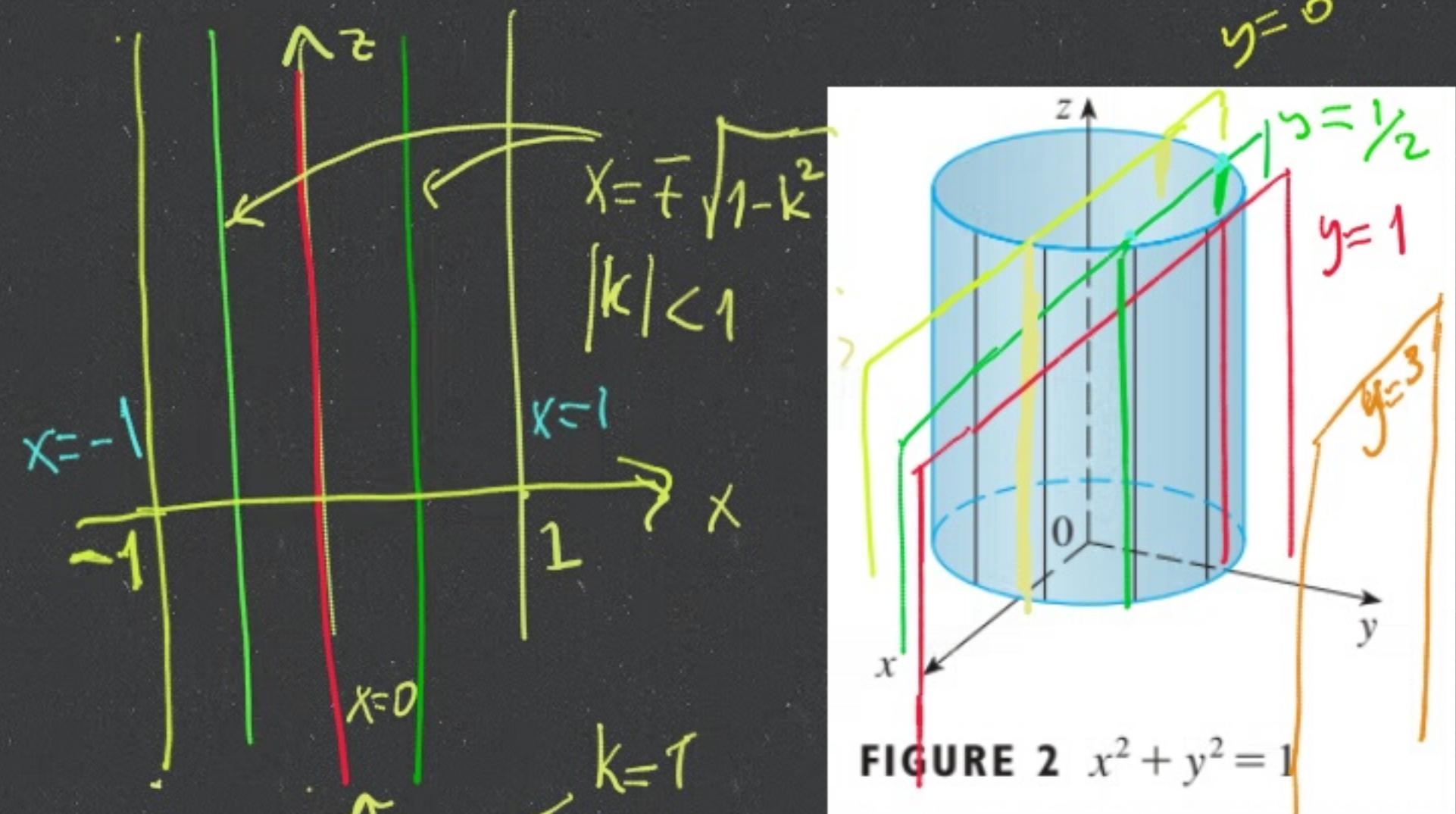
(vertical) " " " xz -plane " $y=k$, "

(vertical) " " " yz -plane " $x=k$, "

Intersections of a surface $S: f(x,y,z)=0$ with such planes are called cross-sections or traces of S .

$y=k$ and $x=k$ give vertical traces

$z=k$ gives horizontal traces, for $k \in \mathbb{R}$.

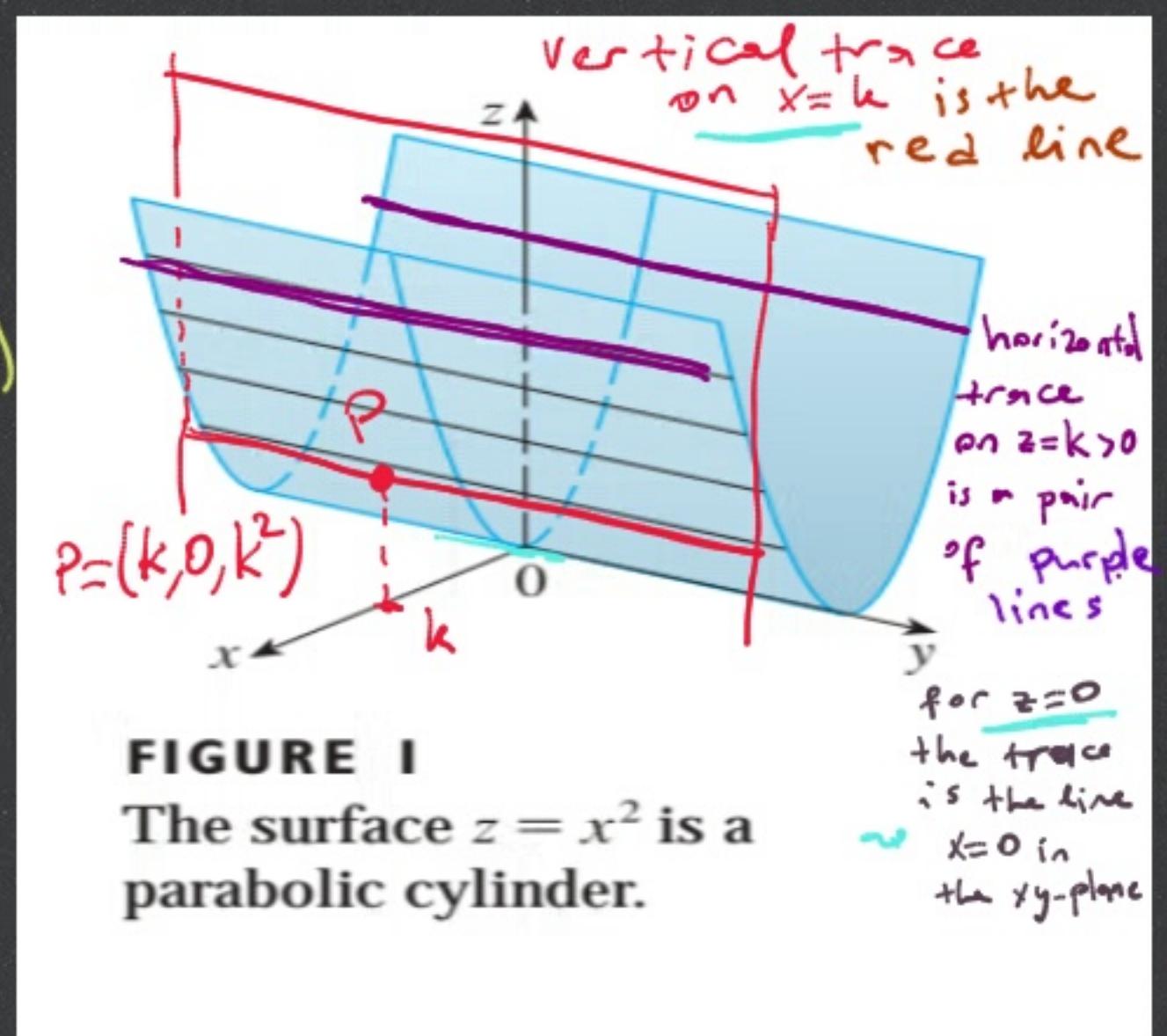


For any k , $y = k$
 gives $z = x^2$ (no y term)
 so it is the same
 parabola

so vertical

trajectories on $y=k$
are all parabolas.

$$\downarrow \quad \left\{ \begin{array}{l} y = k \\ z = x^2 \end{array} \right\}$$

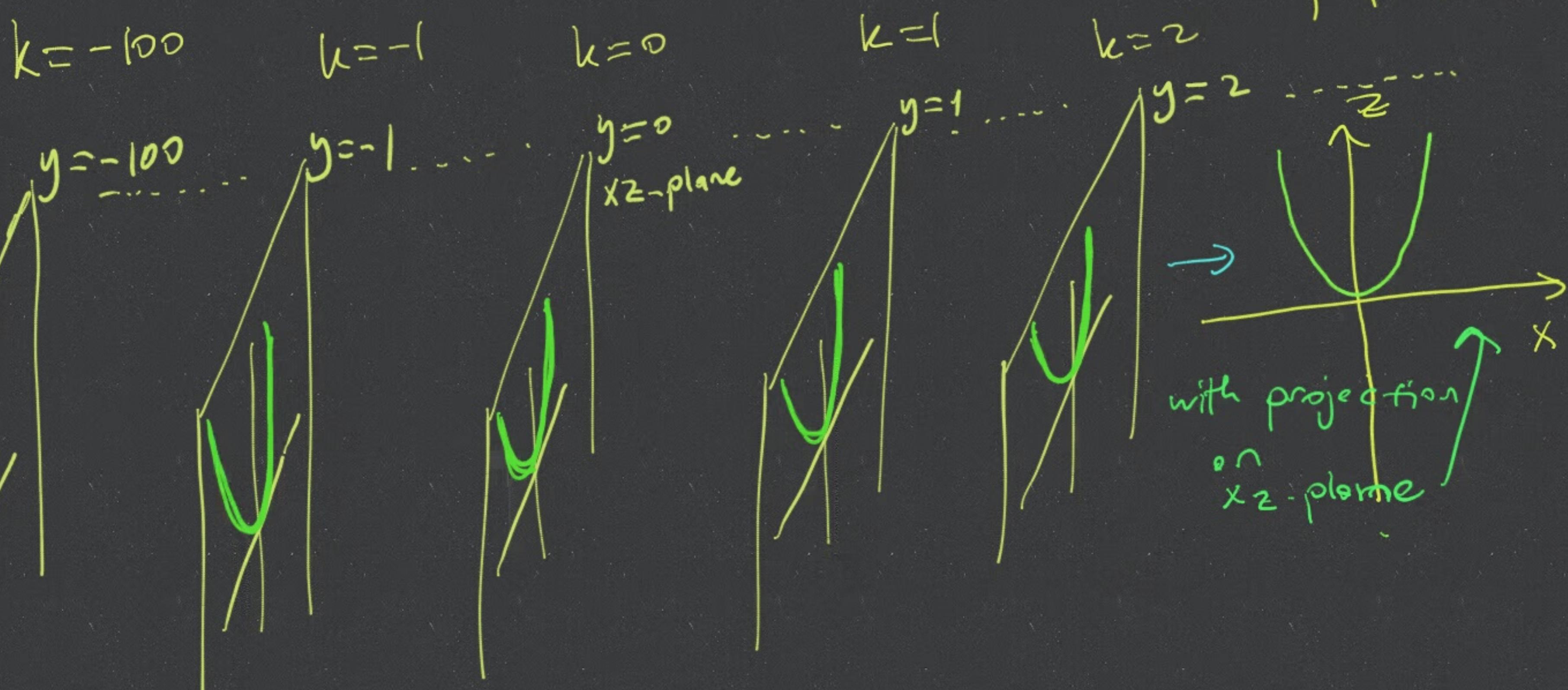


for $x=k \Rightarrow z=x=k^2$ is
 the red line $\begin{cases} x=k \\ z=k^2 \end{cases}$ whose

projection
to yz -plane
is \rightarrow

For $x = k > 0 \Rightarrow k = x^2 \Rightarrow x = \sqrt{k}$

$\left\{ \begin{array}{l} z = k \\ x = \sqrt{k} \\ x = -\sqrt{k} \end{array} \right\}$ describes a single line if $k = 0$
 " a pair of lines if $k \neq 0$
 purple lines in the
 picture whose projection
 onto the xy -plane is below



SIX TYPES OF QUADRIC SURFACES

The six types of quadric surfaces listed in our book are:

- ① spheres, ② cylinders, ③ ellipsoids, ④ cones, ⑤ paraboloids, ⑥ hyperboloids
 $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$ ellipse in \mathbb{R}^2 $\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$ hyperbola in \mathbb{R}^2

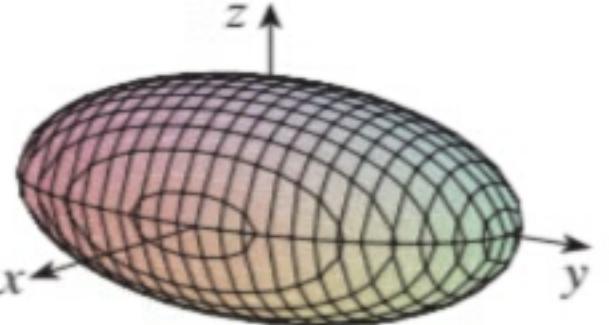
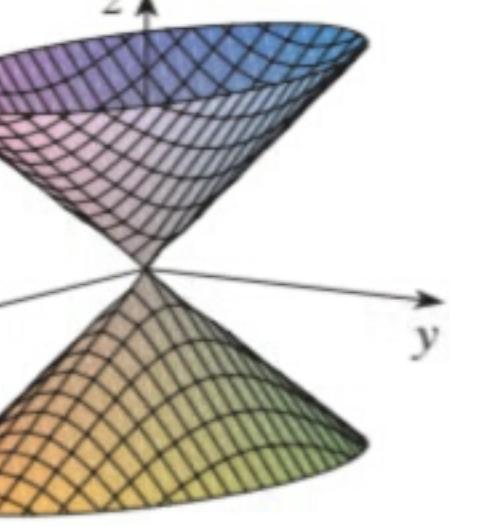
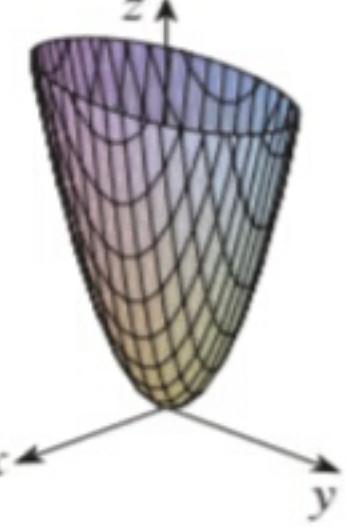
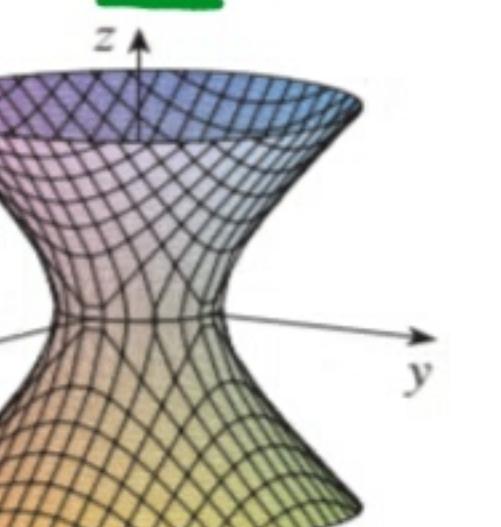
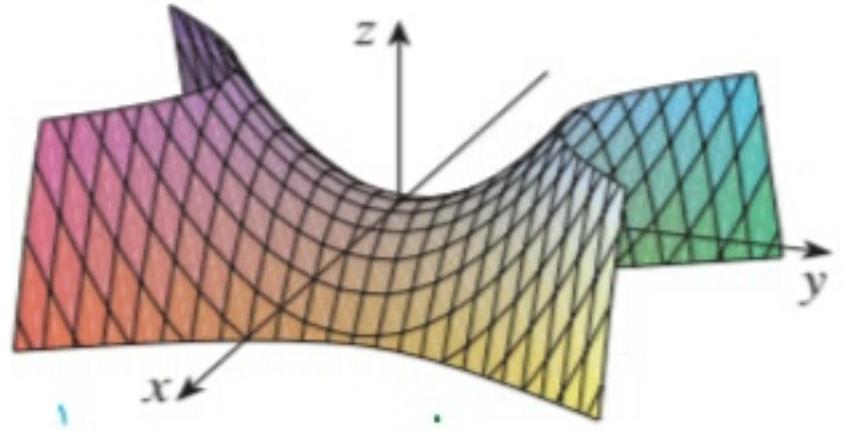
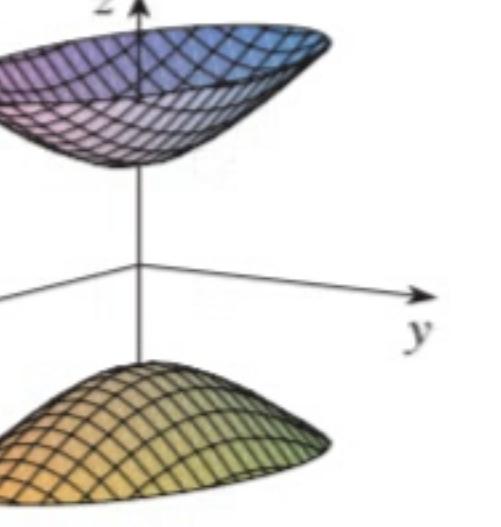
 elliptic hyperbolic
 one sheet two sheets

TABLE I Graphs of quadric surfaces

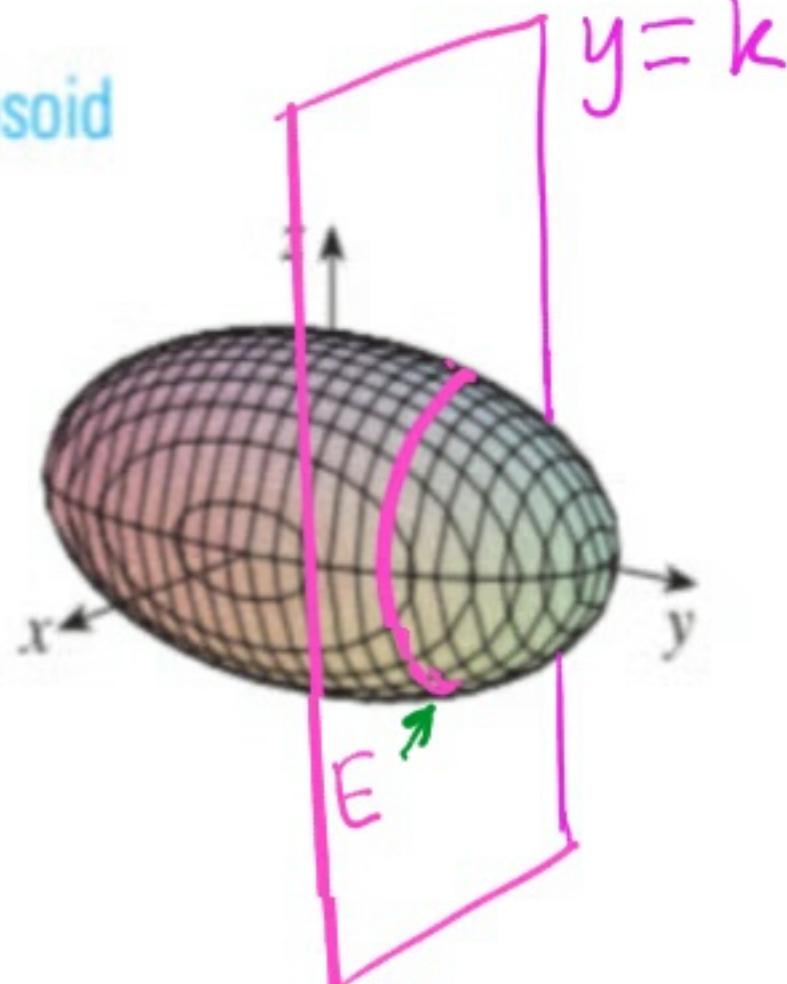
$$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 \quad \begin{array}{l} \text{ellipse} \\ \text{in } \mathbb{R}^2 \end{array}$$

$$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1 \quad \begin{array}{l} \text{hyperbola in } \mathbb{R}^2 \\ \text{elliptic} \end{array}$$

trace = cross-section

Surface	Equation	Surface	Equation
(3) Ellipsoid 	$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$ All traces are ellipses. If $a = b = c$, the ellipsoid is a sphere.	(4) Cone 	$\frac{z^2}{c^2} = \frac{x^2}{a^2} + \frac{y^2}{b^2}$ Horizontal traces are ellipses. Vertical traces in the planes $x = k$ and $y = k$ are hyperbolas if $k \neq 0$ but are pairs of lines if $k = 0$.
(5a) Elliptic Paraboloid 	$\frac{z}{c} = \frac{x^2}{a^2} + \frac{y^2}{b^2}$ Horizontal traces are ellipses. Vertical traces are parabolas. The variable raised to the first power indicates the axis of the paraboloid.	(6a) Hyperboloid of One Sheet 	$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$ Horizontal traces are ellipses. Vertical traces are hyperbolas. The axis of symmetry corresponds to the variable whose coefficient is negative.
(5b) Hyperbolic Paraboloid 	$\frac{z}{c} = \frac{x^2}{a^2} - \frac{y^2}{b^2}$ Horizontal traces are hyperbolas. Vertical traces are parabolas. The case where $c < 0$ is illustrated.	(6b) Hyperboloid of Two Sheets 	$-\frac{x^2}{a^2} - \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$ Horizontal traces in $z = k$ are ellipses if $k > c$ or $k < -c$. Vertical traces are hyperbolas. The two minus signs indicate two sheets.

(3) Ellipsoid



$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$

All traces are ellipses.
If $a = b = c$, the ellipsoid
a sphere.

$$y = k \Rightarrow \frac{x^2}{a^2} + \frac{z^2}{b^2} = 1 - \frac{k^2}{c^2} = \frac{c^2 - k^2}{c^2} \quad \text{for } c^2 > k^2$$

$$\left(\frac{c^2}{c^2-k^2}\right)\left(\frac{x^2}{a^2} + \frac{z^2}{b^2}\right) = \left(\frac{c^2-k^2}{c^2}\right)\left(\frac{c^2}{c^2-k^2}\right) = 1$$

$$e^2 = \frac{x^2}{a^2 \cdot (c^2 - k^2) / c^2} + \frac{z^2}{b^2 \cdot (c^2 - k^2) / c^2} = f^2$$

$$\left\{ \frac{x^2}{a^2} + \frac{z^2}{f^2} = 1 \right. \\ \left. y=k \right\}$$

describes the
pink ellipse E
whose projec

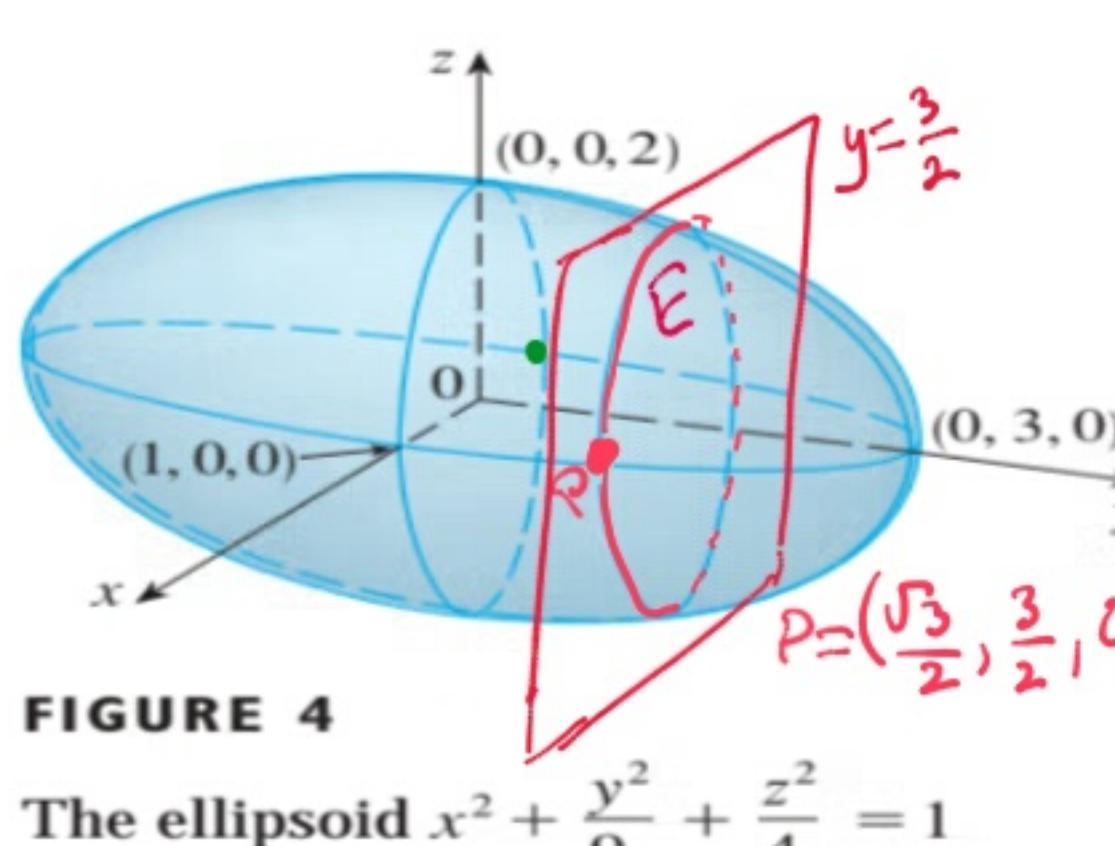


FIGURE 4

The ellipsoid $x^2 + \frac{y^2}{9} + \frac{z^2}{4} = 1$

$$y = \frac{3}{2} \Rightarrow x^2 + \frac{3^2}{2^2 \cdot 3^2} + \frac{z^2}{4} = 1 \Rightarrow x^2 + \frac{z^2}{4} = \frac{3}{4}$$

$$\Rightarrow \frac{x^2}{3/4} + \frac{z^2}{3} = 1$$

$$E : \left\{ \begin{array}{l} y = \frac{3}{2} \\ \left(\frac{x^2}{(\sqrt{3}/2)^2} + \frac{z^2}{(\sqrt{3})^2} \right) = 1 \end{array} \right\}$$

$$\Rightarrow \frac{x^2}{(\sqrt{3}/2)^2} + \frac{z^2}{(\sqrt{3})^2} = 1$$

is the projection of E
on the x-z-plane

is the projection of c
on the xz -plane

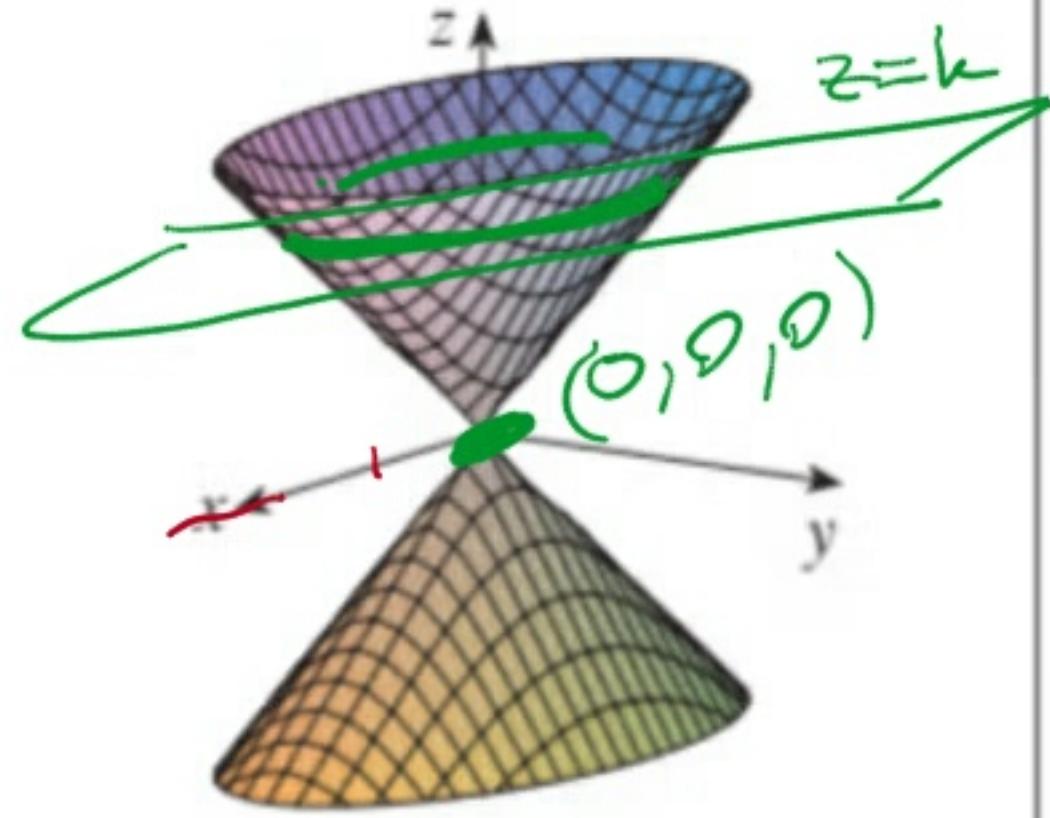
CONES:

$$S: \frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 0 \Leftrightarrow \frac{x^2}{a^2} + \frac{y^2}{b^2} = \frac{z^2}{c^2}$$

Note that when $z=0$; $\frac{x^2}{a^2} + \frac{y^2}{b^2} = 0$ is satisfied by $x=0=y$

So, S intersects with the xy -plane is $(0,0,0)$ only.

(4)
Cone



$$\frac{z^2}{c^2} = \frac{x^2}{a^2} + \frac{y^2}{b^2}$$

Horizontal traces are ellipses.

Vertical traces in the planes $x=k$ and $y=k$ are hyperbolas if $k \neq 0$ but are pairs of lines if $k=0$.

$$\text{when } z=\pm k, \frac{x^2}{a^2} + \frac{y^2}{b^2} = \frac{k^2}{c^2}$$



$$\frac{x^2}{(ka/c)^2} + \frac{y^2}{(kb/c)^2} = 1 \quad \text{ellipse for } k \neq 0.$$

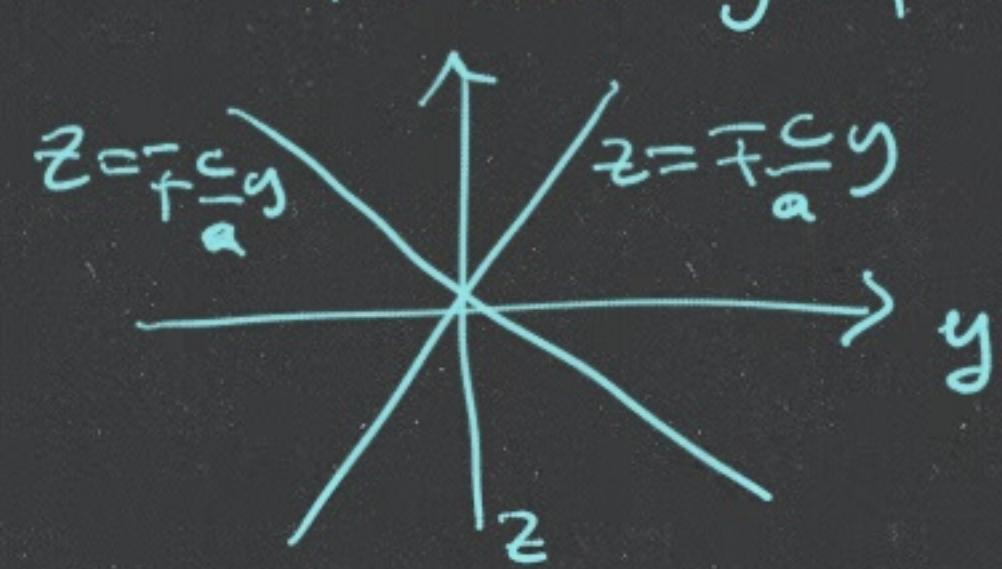
so, all horizontal traces are ellipses.

$$\text{when } x=0; \frac{z^2}{c^2} = \frac{y^2}{a^2} \Rightarrow \frac{z^2}{c^2} - \frac{y^2}{a^2} = \left(\frac{z}{c} - \frac{y}{a}\right)\left(\frac{z}{c} + \frac{y}{a}\right) = 0,$$

$$\begin{cases} z = \frac{c}{a}y \\ z = -\frac{c}{a}y \end{cases}$$

are lines

in the yz -plane

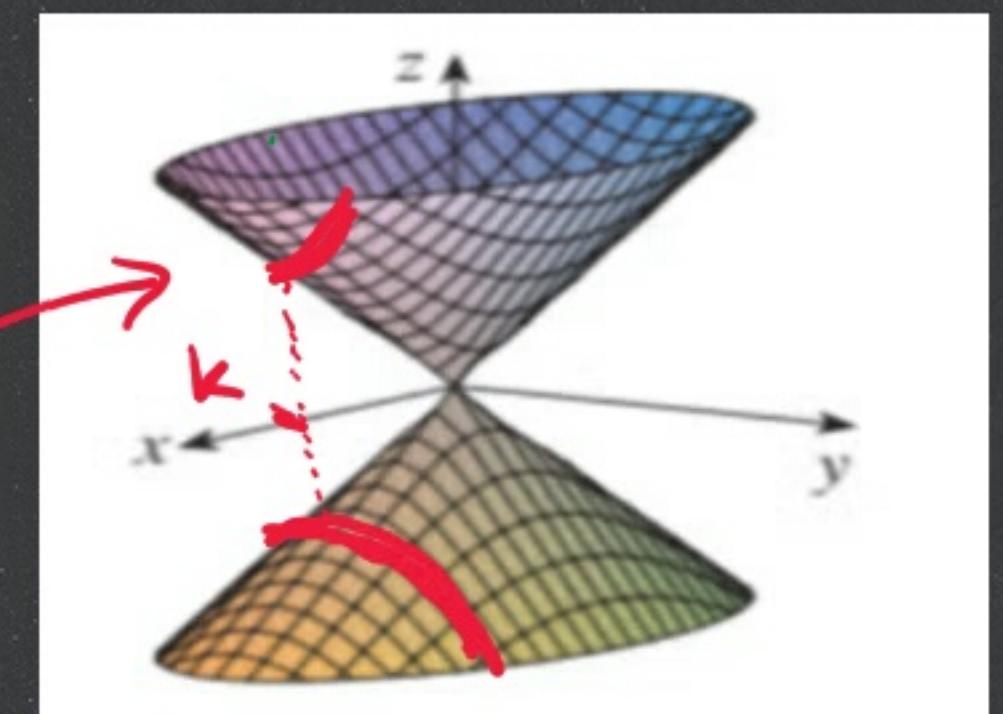
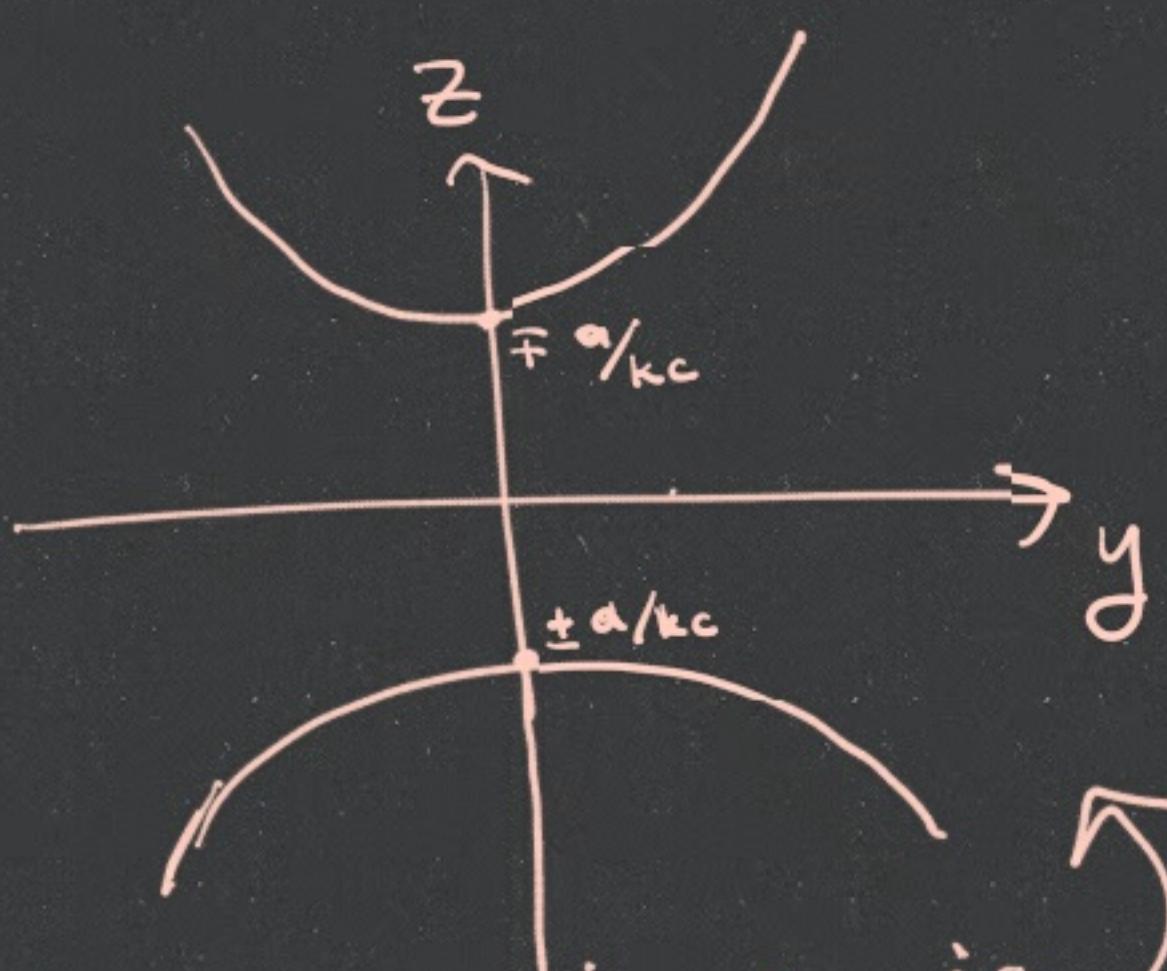


$$\text{when } x=k; \frac{z^2}{c^2} = \frac{k^2}{a^2} + \frac{y^2}{b^2}$$

$$\text{hyperbola } \frac{z^2}{c^2} - \frac{y^2}{b^2} = \frac{k^2}{a^2}$$

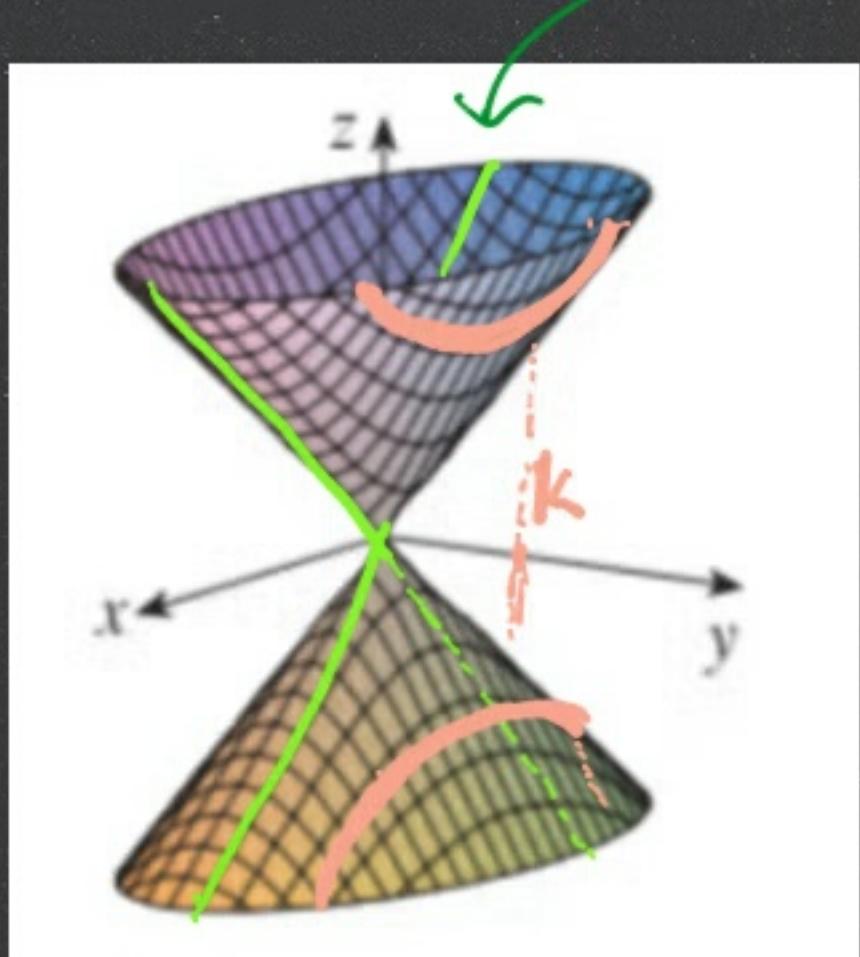
$$\frac{z^2}{(kc/a)^2} - \frac{y^2}{(kb/a)^2} = 1$$

whose projection to yz -plane is



$$\text{When } y=k, \frac{z^2}{c^2} = \frac{x^2}{a^2} + \frac{k^2}{b^2} \Leftrightarrow \frac{z^2}{c^2} - \frac{x^2}{a^2} = \frac{k^2}{b^2} \xrightarrow{k \neq 0} \frac{z^2}{(kc/b)^2} - \frac{x^2}{(ka/b)^2} = 1$$

$$k=0, \frac{z^2}{c^2} = \frac{x^2}{a^2},$$



hyperbola again!
whose projection to xz -plane

vertical traces are parabolas
 $x=k, y=k$

5) Paraboloids

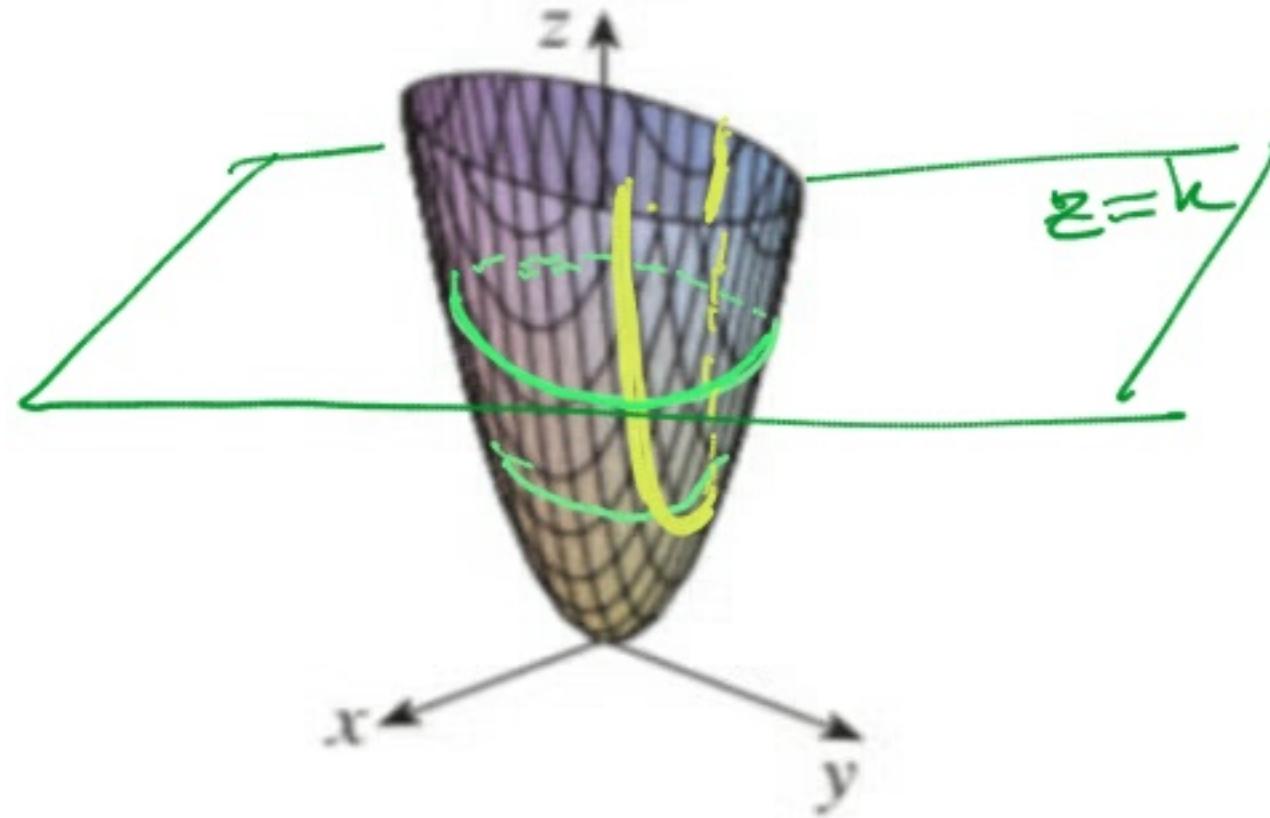
$$\frac{z}{c} = \frac{x^2}{a^2} + \frac{y^2}{b^2}$$

horizontal traces
intersection with horizontal planes is ellipses
 $z=k$

horizontal traces
intersection with horizontal planes are hyperbolas
 $z=k$

5a

Elliptic Paraboloid

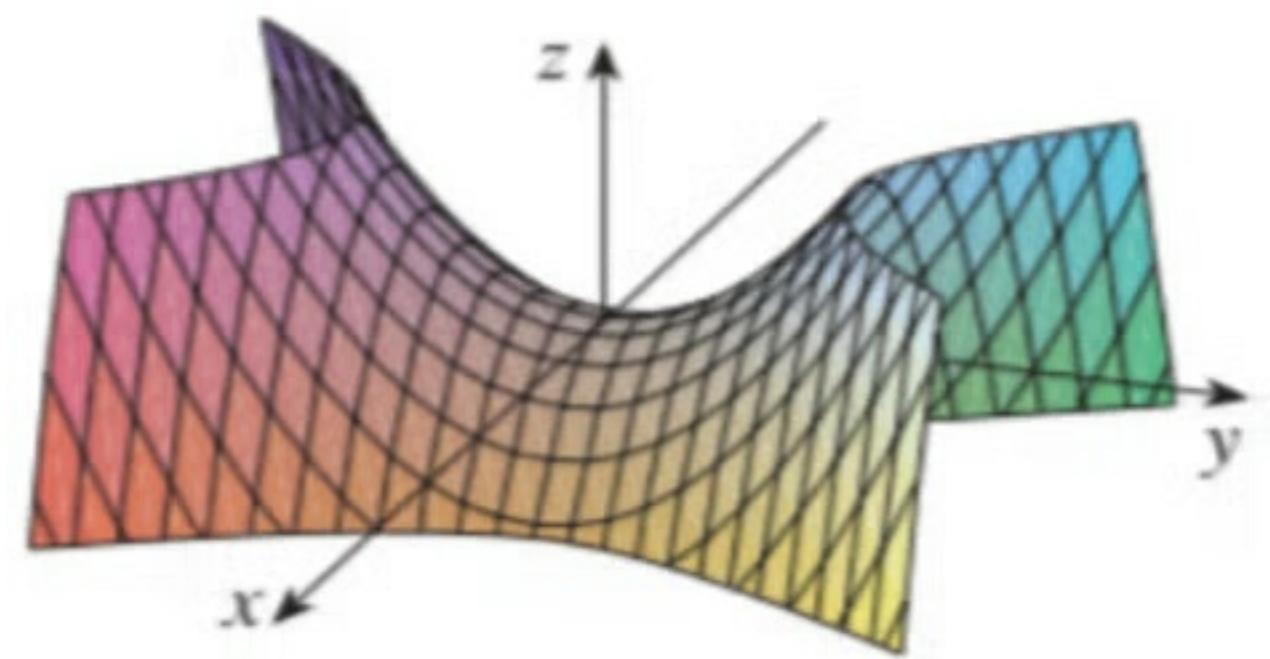


$$\frac{z}{c} = \frac{x^2}{a^2} + \frac{y^2}{b^2}$$

Horizontal traces are ellipses.
 Vertical traces are parabolas.
 The variable raised to the first power indicates the axis of the paraboloid.

5b

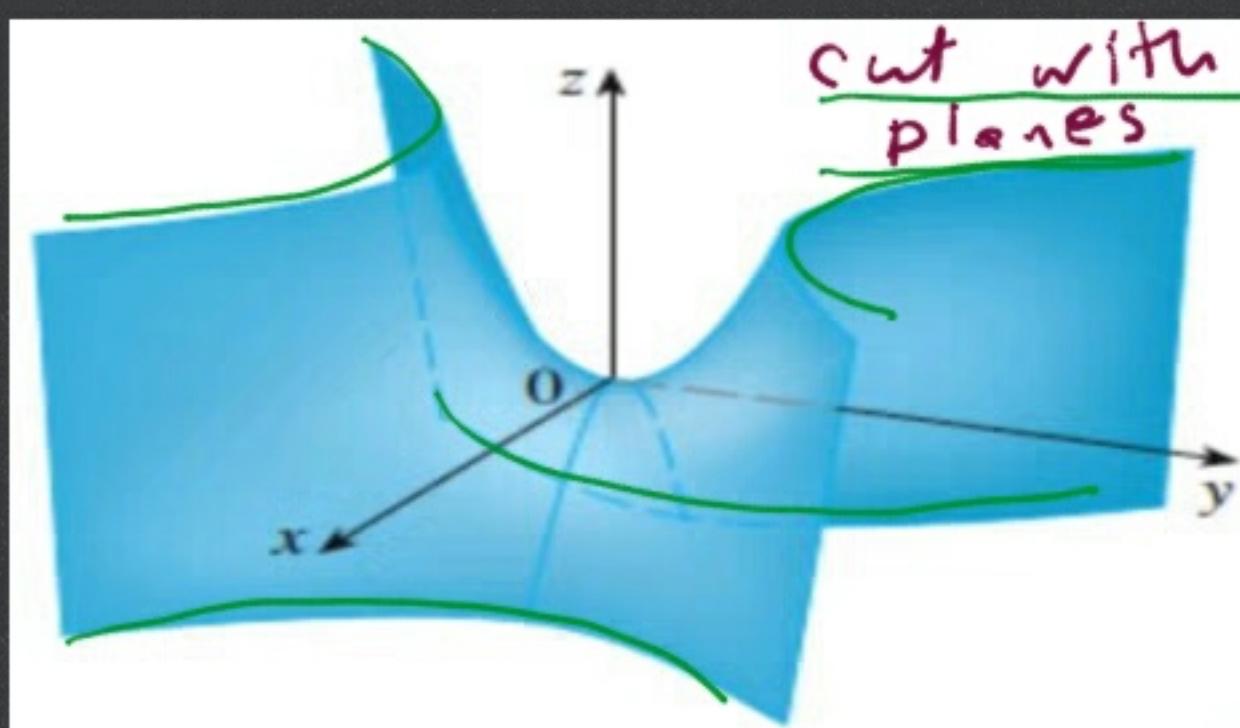
Hyperbolic Paraboloid



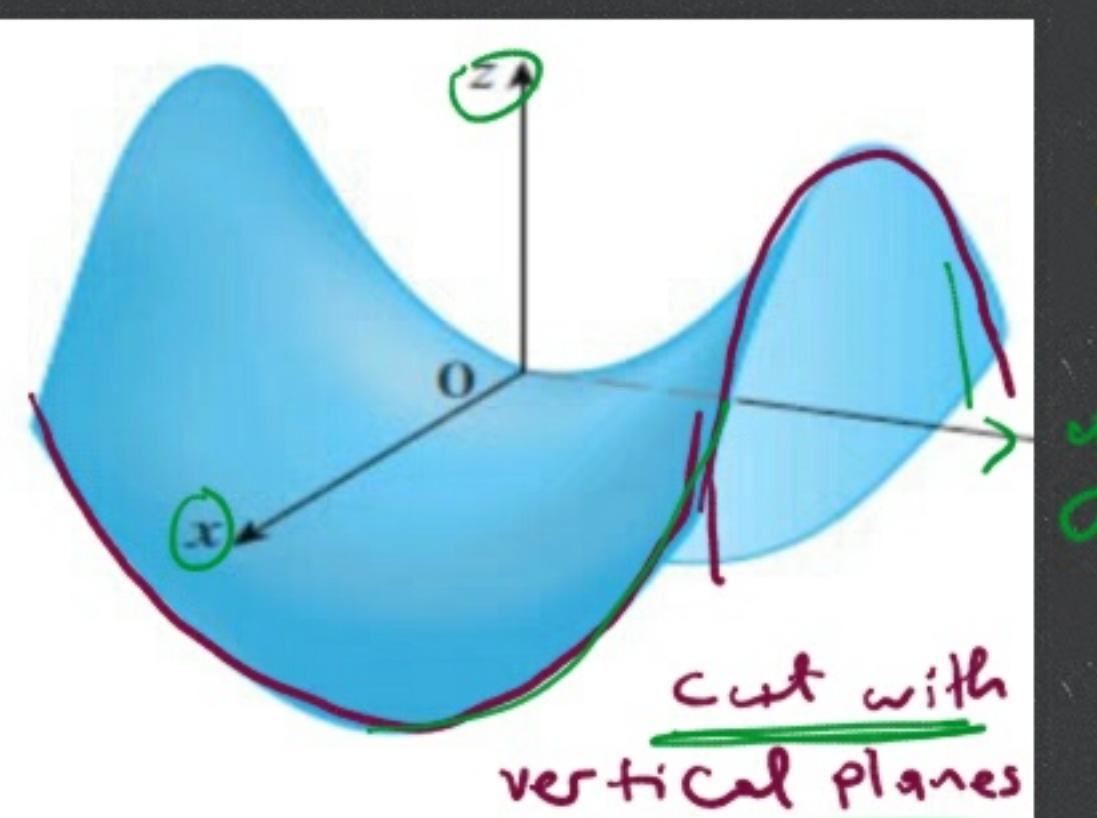
$$\frac{z}{c} = \frac{x^2}{a^2} - \frac{y^2}{b^2}$$

Horizontal traces are hyperbolas.
 Vertical traces are parabolas.
 The case where $c < 0$ is illustrated.

Saddle



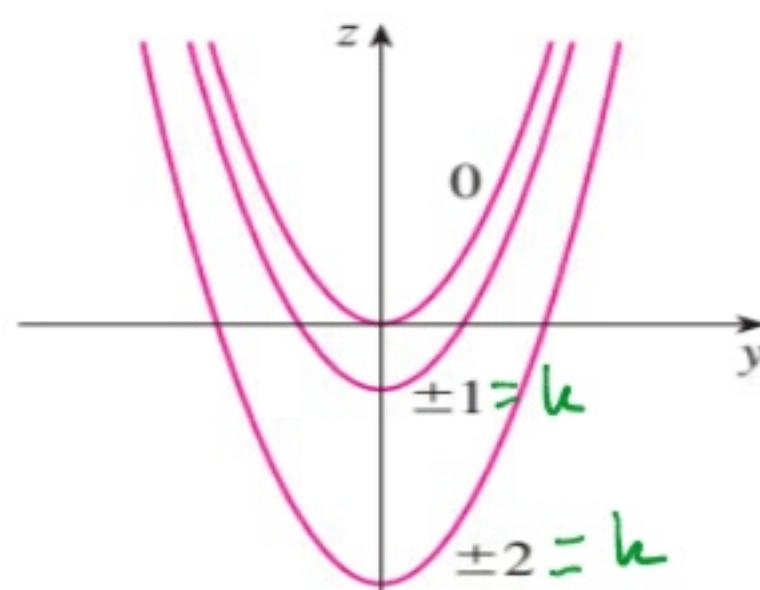
$$c=-1, a=1=b$$



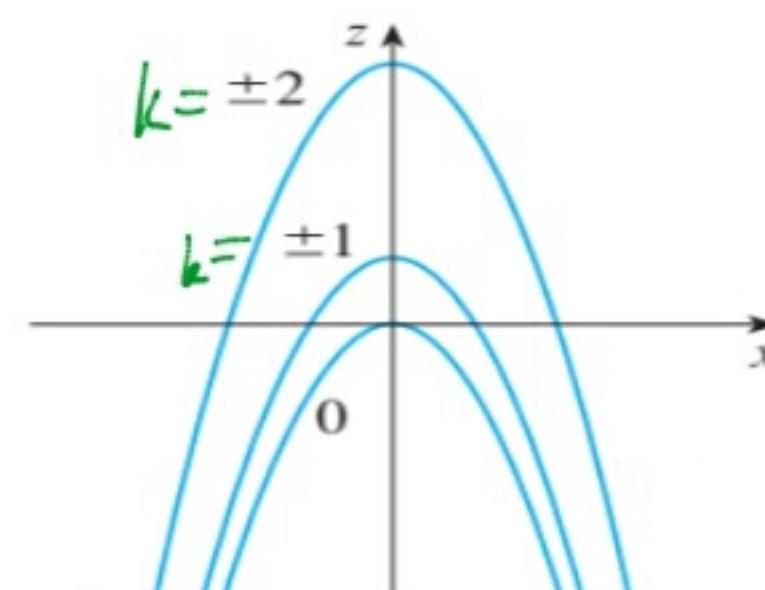
Two views of the surface $z = y^2 - x^2$, a hyperbolic paraboloid.

EXAMPLE 5 Sketch the surface $z = y^2 - x^2$.

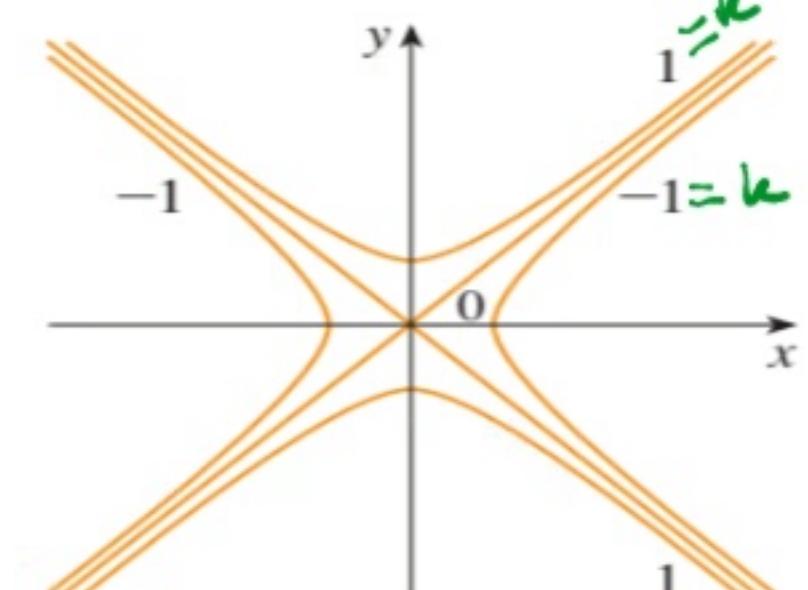
SOLUTION The traces in the vertical planes $x = k$ are the parabolas $z = y^2 - k^2$, which open upward. The traces in $y = k$ are the parabolas $z = -x^2 + k^2$, which open downward. The horizontal traces are $y^2 - x^2 = k$, a family of hyperbolas. We draw the families of traces in Figure 6, and we show how the traces appear when placed in their correct planes in Figure 7.



Traces in $x = k$ are $z = y^2 - k^2$



Traces in $y = k$ are $z = -x^2 + k^2$



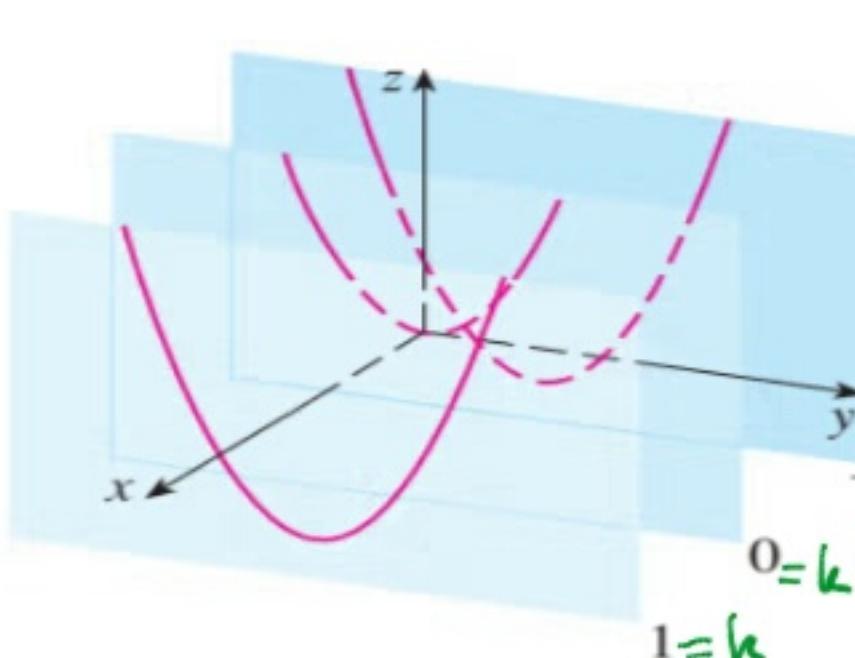
Traces in $z = k$ are $y^2 - x^2 = k$

FIGURE 6

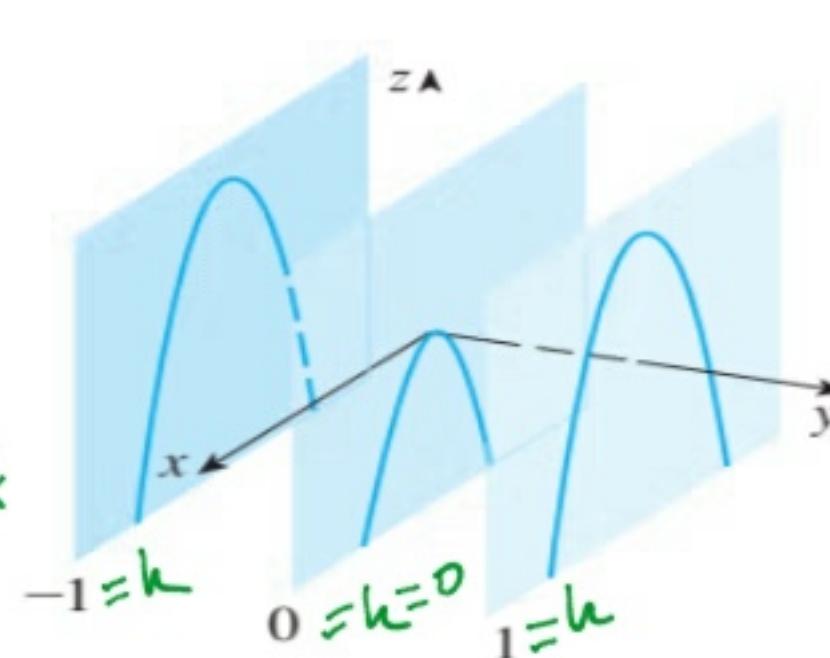
Vertical traces are parabolas;
 horizontal traces are hyperbolas.
 All traces are labeled with the
 value of k .

FIGURE 7

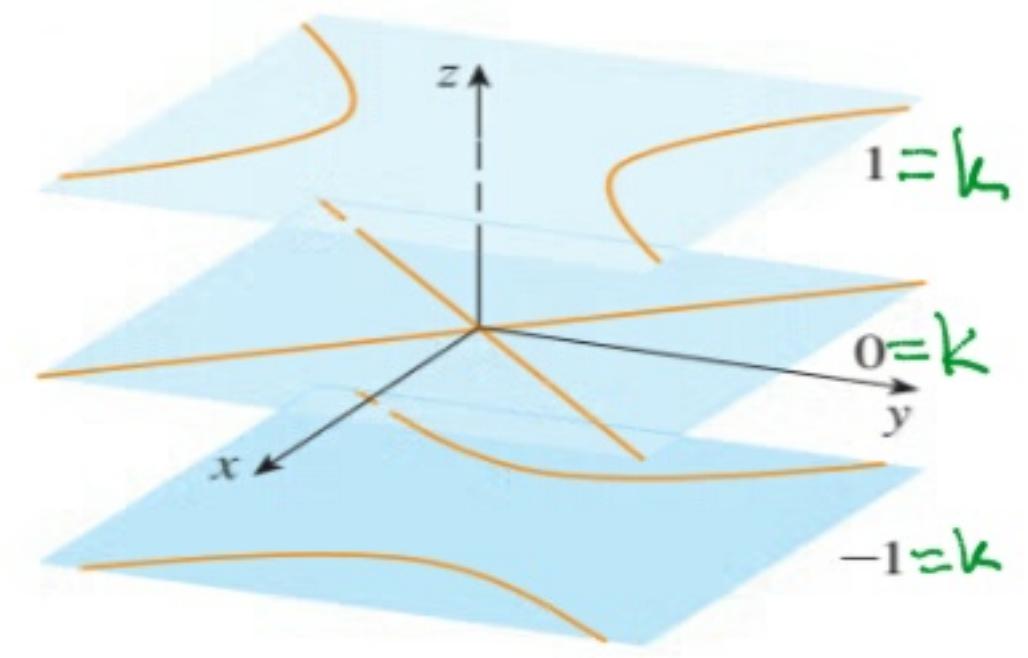
Traces moved to their
 correct planes



Traces in $x = k$



Traces in $y = k$



Traces in $z = k$

Vertical traces are hyperboloids, horizontal traces are ellipses.

6) Hyperboloids one sheet $\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$ when $x=0$

two sheets

$$-\frac{x^2}{a^2} - \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$

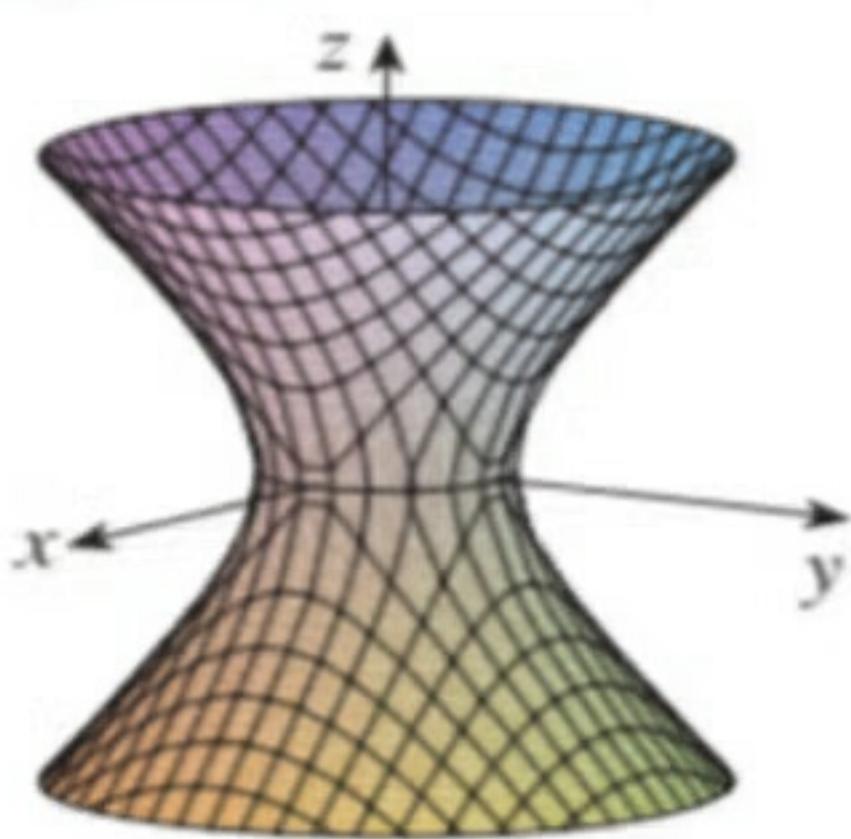
one sheeted

$$z=k; \frac{x^2}{a^2} + \frac{y^2}{b^2} = 1 + \frac{k^2}{c^2} = \frac{c^2+k^2}{c^2}$$

ellipse

6a

Hyperboloid of One Sheet



$x=0=y$ gives no solution.

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} - \frac{z^2}{c^2} = 1$$

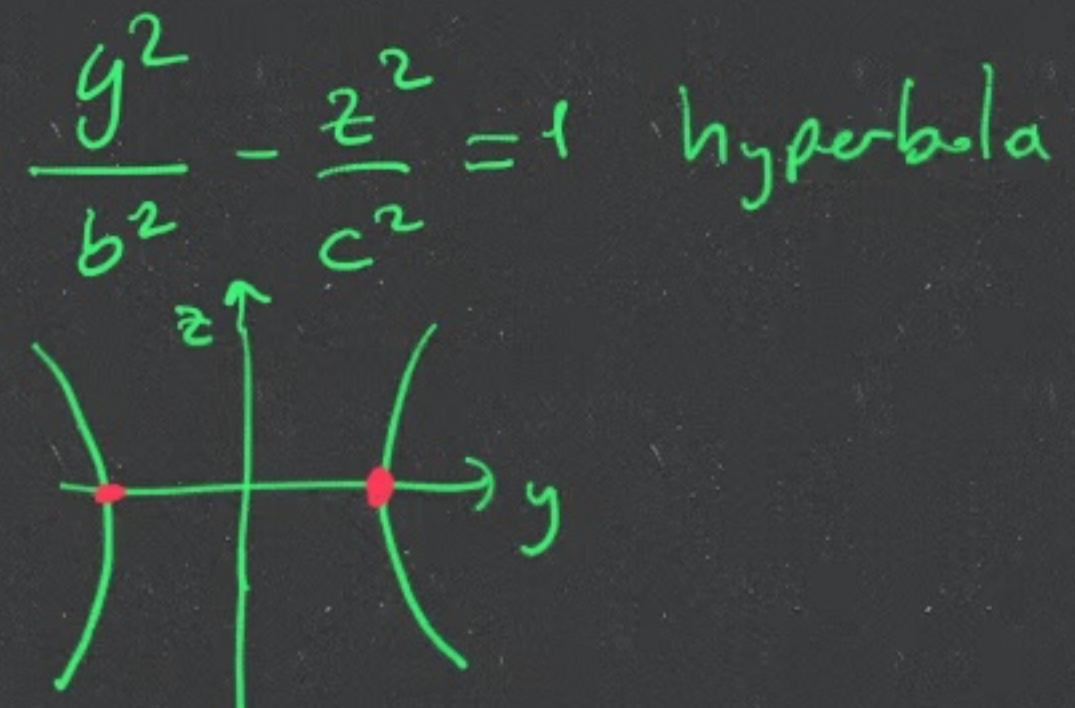
Horizontal traces are ellipses.

Vertical traces are hyperbolas.

$\nearrow 0$

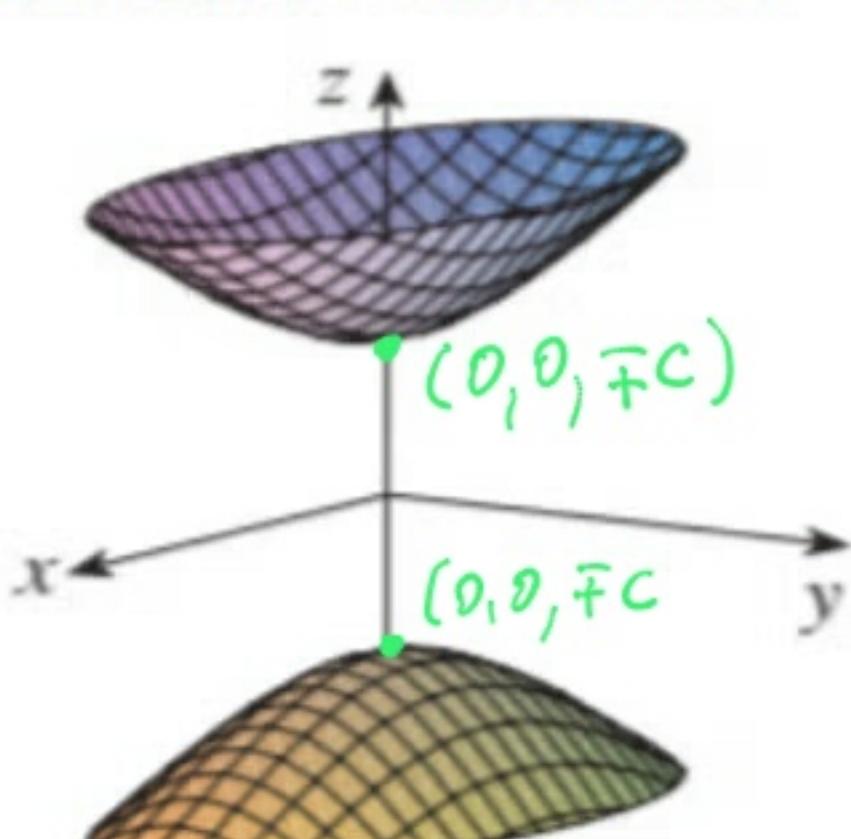
$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1 - \frac{k^2}{c^2} = \frac{c^2-k^2}{c^2} \Leftrightarrow \frac{x^2}{a^2} + \frac{y^2}{b^2} = \frac{k^2-c^2}{c^2}$ has no ellipse when $|k| > |c|$

when $x=0$ (vertical trace)



6b

Hyperboloid of Two Sheets



$x=0=y$ gives two solutions $z=\pm c$

$$-\frac{x^2}{a^2} - \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$

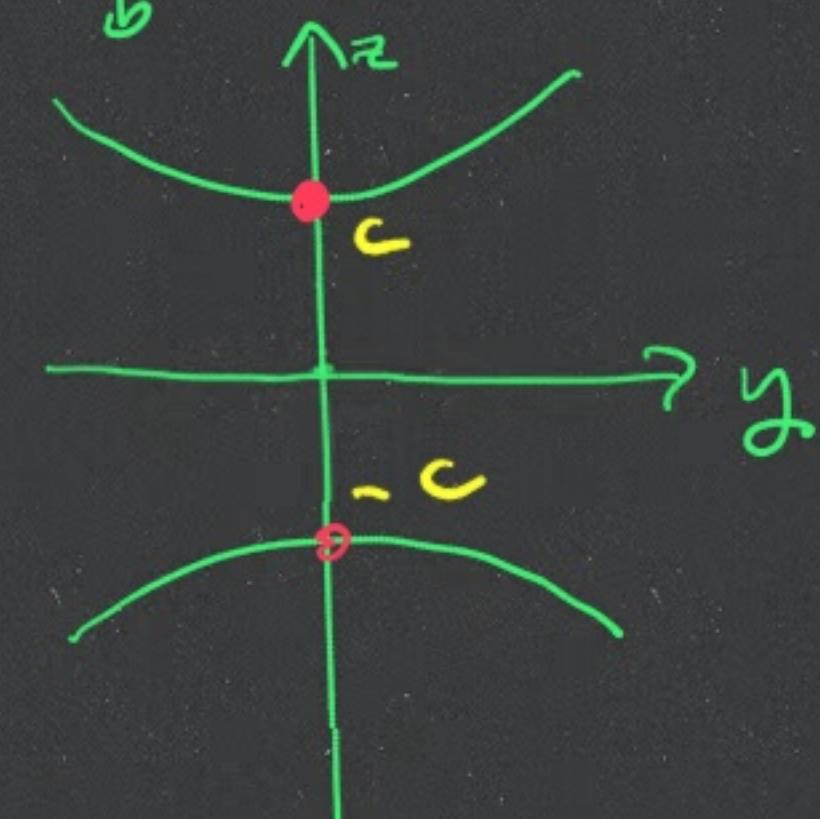
Horizontal traces in $z = k$ are ellipses if $k > c$ or $k < -c$.

Vertical traces are hyperbolas.

The two minus signs indicate two sheets.

when $x=0$, (vertical trace)

$$-\frac{y^2}{b^2} + \frac{z^2}{c^2} = 1 \text{ hyperbola}$$



EXAMPLE 6 Sketch the surface $\frac{x^2}{4} + y^2 - \frac{z^2}{4} = 1$.

are sheeted hyperboloid.

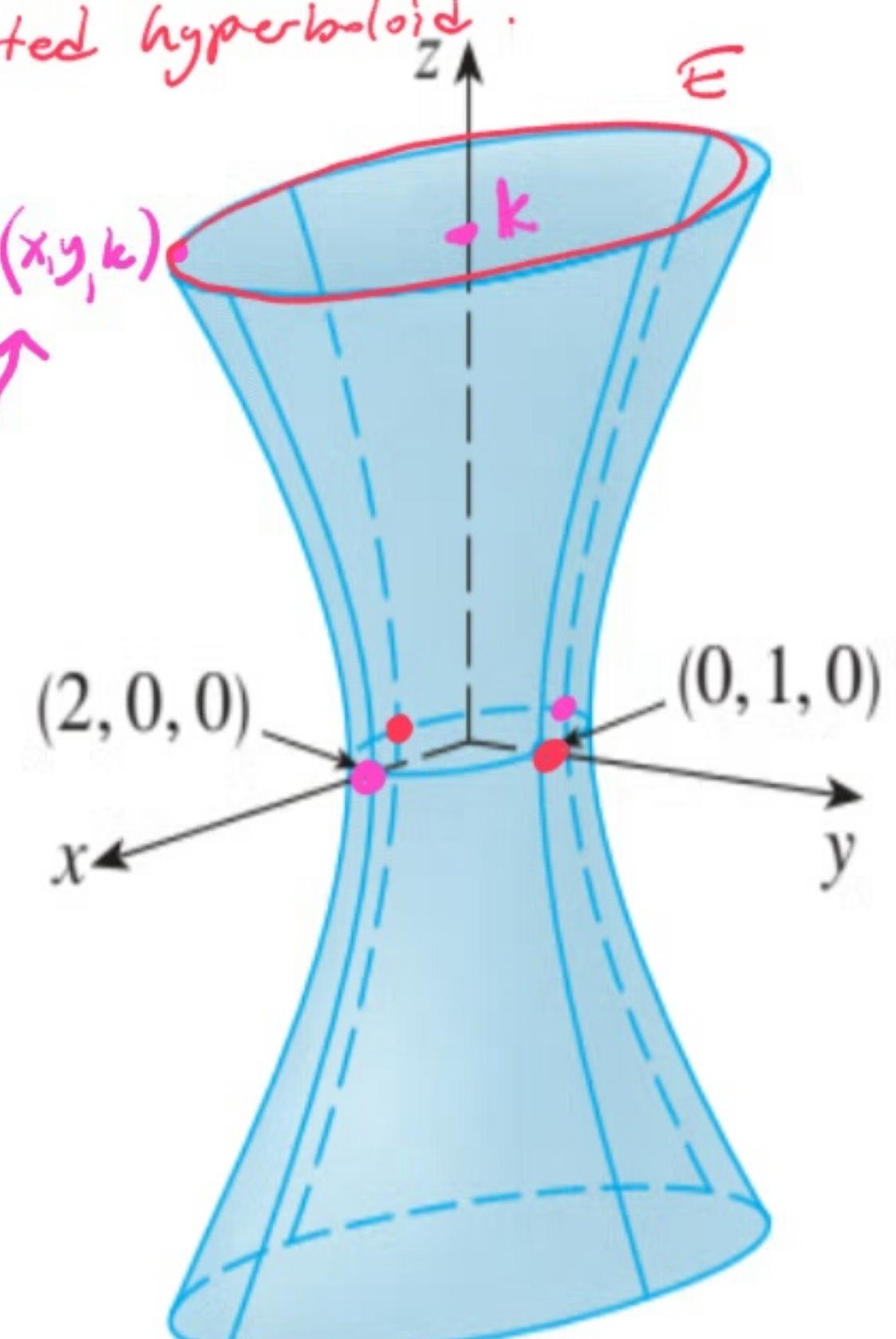
SOLUTION The trace in any horizontal plane $z = k$ is the ellipse (x,y,k)

$$\frac{x^2}{4} + y^2 = 1 + \frac{k^2}{4}, \quad z = k$$

$x=0=y \Rightarrow$ no solution

$x=0=z \Rightarrow y = \pm 1 \Rightarrow (0, 1, 0), (0, -1, 0)$ are on it

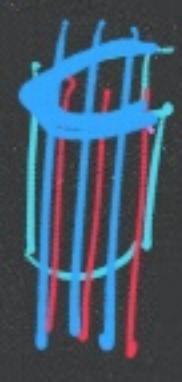
$y=0=z \Rightarrow x = \pm 2 \Rightarrow (2, 0, 0), (-2, 0, 0)$ are on it



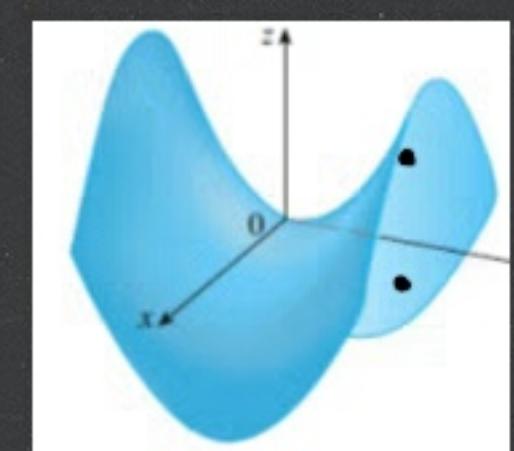
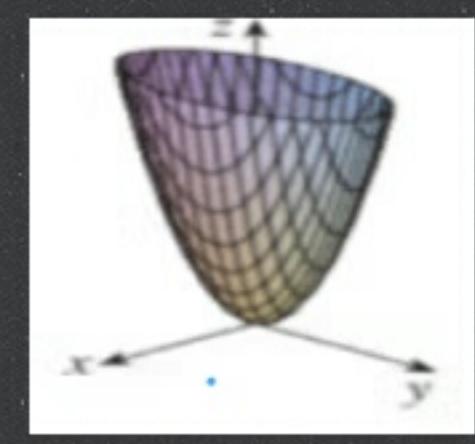
Which quadric surfaces do contain a line?

Let's list the quadric surfaces in a different order and see which contain lines.

- cylinders have only two variables, they contain lines parallel to the axis of the missing variable.

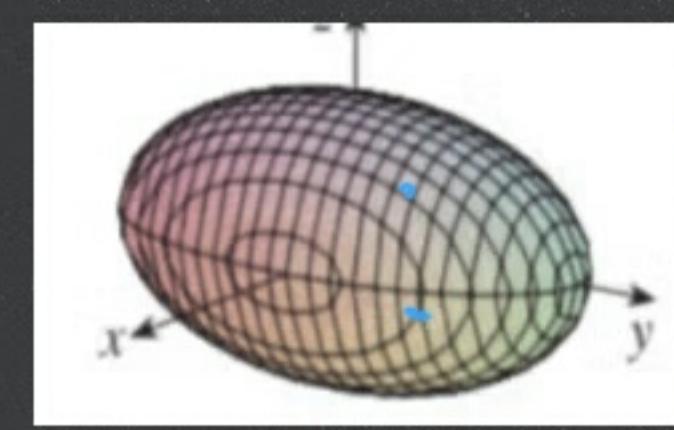


- paraboloids
 - elliptic $f(x,y) = z = x^2 + y^2$
 - hyperbolic $f(x,y) = z = x^2 - y^2$
saddle



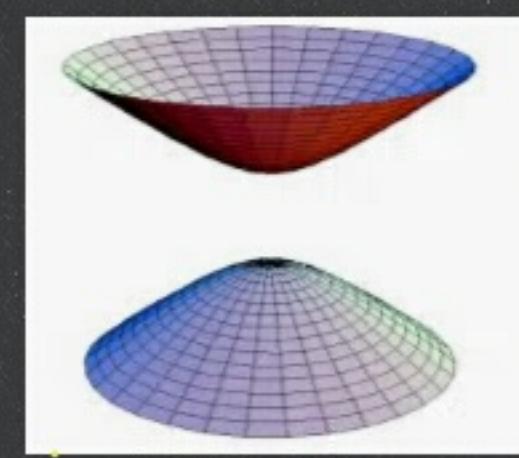
- ellipsoid
(sphere like)

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1$$

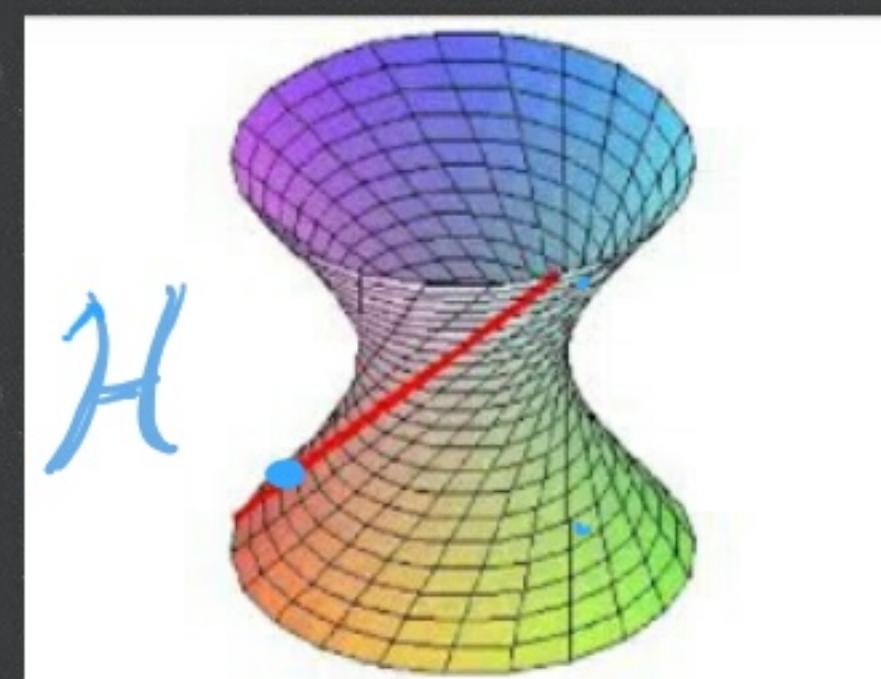


- hyperboloid

two sheeted
 $-x^2 - y^2 + z^2 = 1$



$x^2 + y^2 - z^2 = 1$
one sheeted



\mathcal{H} contains
lines \oplus

Proof of \oplus

Let r be a real number, and $P_r = (r, 0, \sqrt{r^2 - 1})$ be a point in \mathbb{R}^3 .
Then P_r satisfies the equation $x^2 + y^2 - z^2 = 1$ because $\frac{r^2}{r^2} - \frac{0^2}{r^2} - \frac{(\sqrt{r^2 - 1})^2}{r^2} = 1 -$

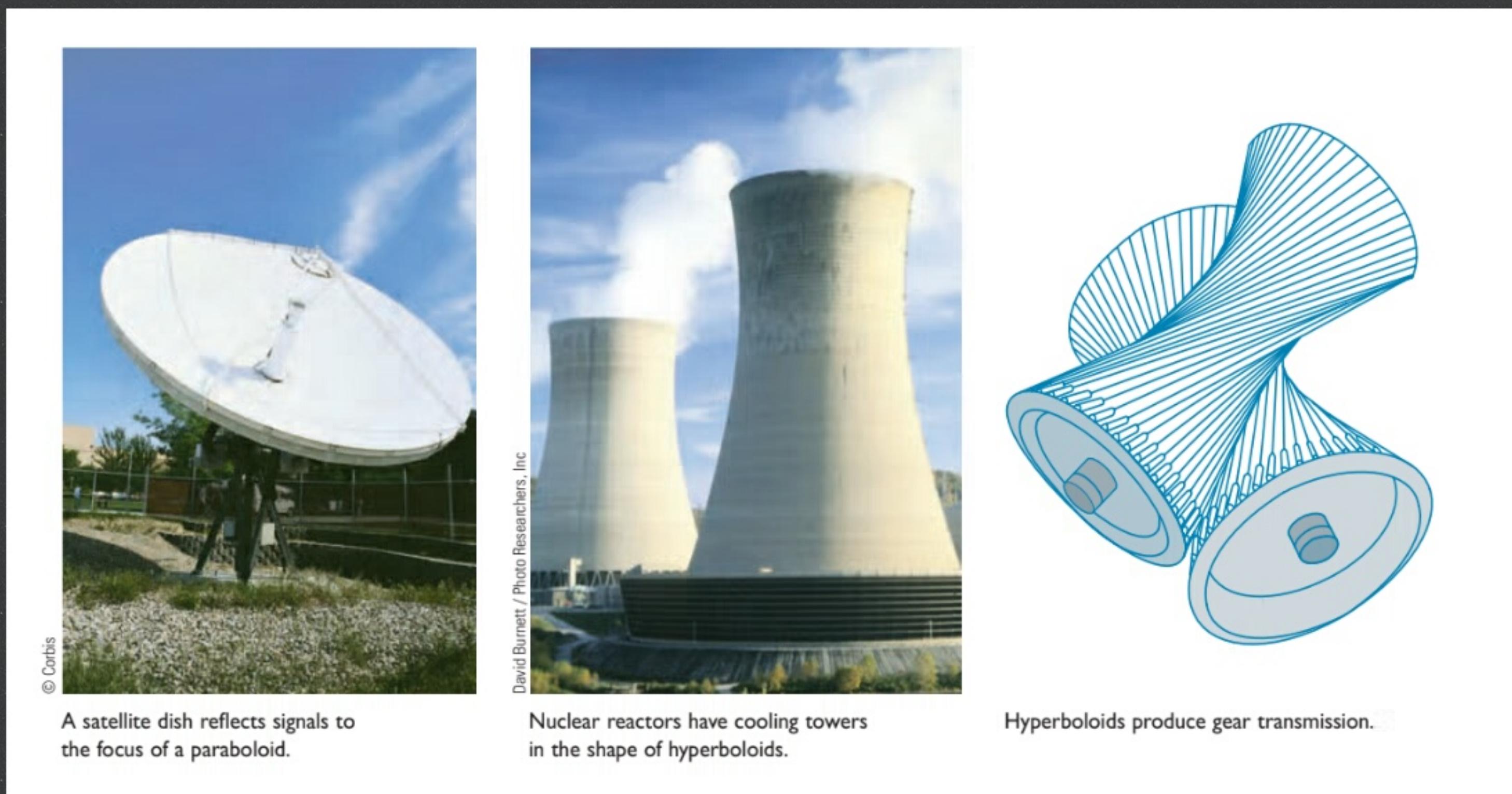
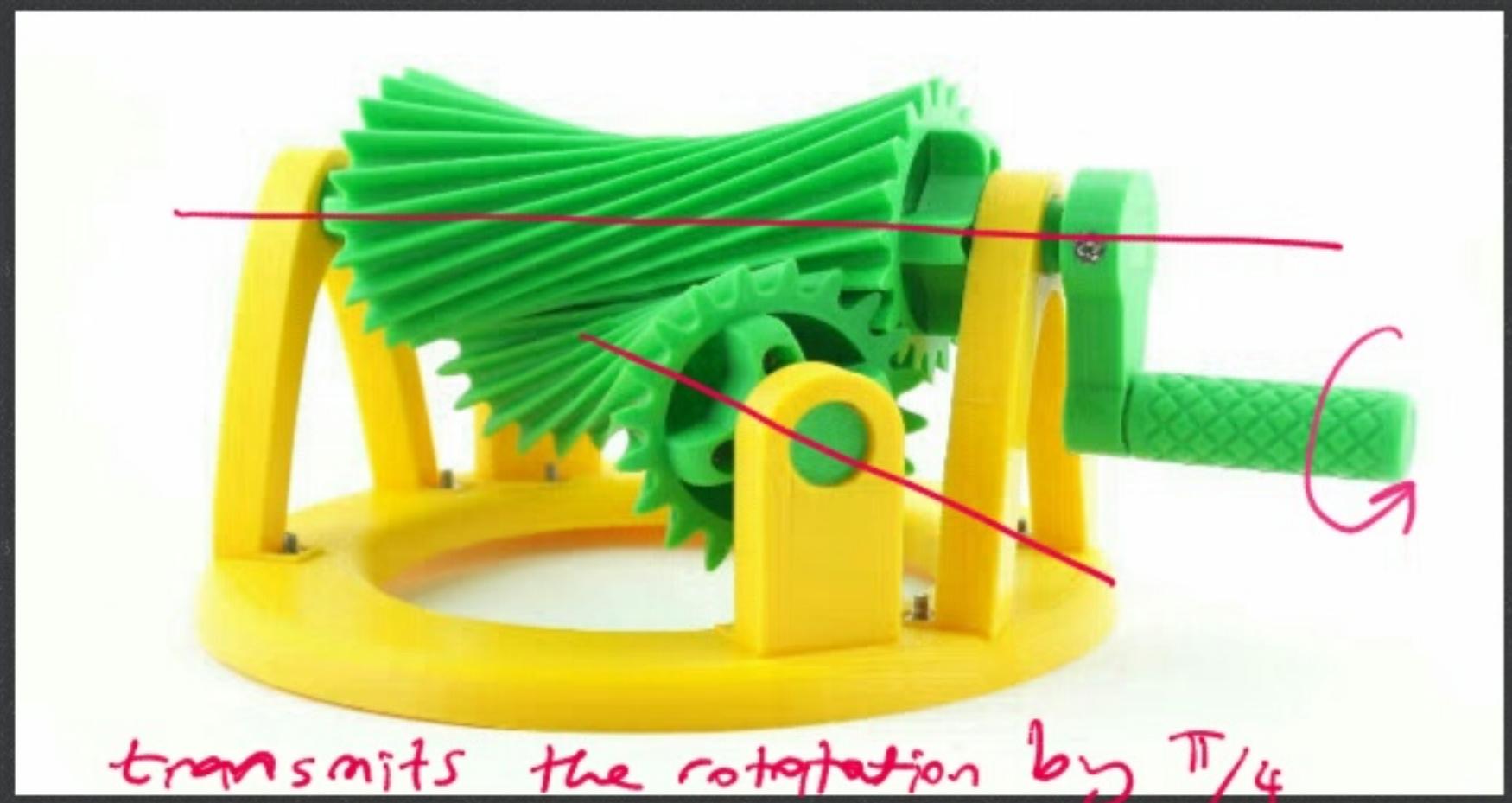
Let $\vec{v}_r = \sqrt{r^2 - 1} \cdot \vec{i} + \vec{j} + r \vec{k}$, then \vec{v}_r is a line \mathfrak{l}_r .

$$\Rightarrow \mathfrak{l}_r(t) = \left(\underbrace{r + t\sqrt{r^2 - 1}}_x, \underbrace{t}_y, \underbrace{\sqrt{r^2 - 1} + tr}_z \right). \text{ Let's check if } \mathfrak{l}_r(t) \in \mathcal{H}. \\ x^2 + y^2 - z^2 = (r + t\sqrt{r^2 - 1})^2 + t^2 - (\sqrt{r^2 - 1} + tr)^2 = \\ r^2 + 2tr\sqrt{r^2 - 1} + t^2(r^2 - 1) + t^2 - (r^2 - 1 + 2tr\sqrt{r^2 - 1} + t^2r^2) = 1$$

\Rightarrow every point on \mathfrak{l}_r is on \mathcal{H} for any $r \in \mathbb{R}$.
so \mathcal{H} contains infinitely many lines

Hyperbolic gear transmission →

There are animations on the YouTube showing this type of gears transmitting the motion from one direction to another.



Interactive Gallery Of Quadric Surfaces

Intro Elliptic Paraboloid Hyperbolic Paraboloid Ellipsoid Double Cone Hyperboloid of One Sheet

Hyperboloid of Two Sheets

Quadric surfaces are important objects in Multivariable Calculus and Vector Analysis classes. We like them because they are natural 3D extensions of the so-called *conics* (ellipses, parabolas, and hyperbolas), and they provide fairly nice surfaces to use as examples for the rest of your class.

The basic quadric surfaces are described by the following five equations, where A , B , and C are constants.

$$z = Ax^2 + By^2 \quad z^2 = Ax^2 + By^2 \quad \frac{x^2}{A^2} + \frac{y^2}{B^2} + \frac{z^2}{C^2} = 1$$

